Form **990** 

Return of Organization Exempt From Income Tax Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations) Do not enter social security numbers on this form as it may be made public.

OMB No. 1545-0047 2016

**Open to Public** 

| Der                     | partment of t<br>ernal Revenu | the Treasury<br>Je Service | ► Do not o<br>► Informatio  | on about Form 990 and its instr                       | in this form as it may be m<br>uctions is at www.irs.go | ade public.<br>v/form990.                      |              | Inspection                            |
|-------------------------|-------------------------------|----------------------------|---|---|---|--|--------------|---------------------------------------|
| Ā                       |                               |                            | dar year, or tax year begi  | nning   | , 2016, and endi  | ng   | 101(1893)    |                                       |
| В                       | Check if a                    |                            | C   |   |   | -  | oyer ident   | ification number                      |
|                         | Addre                         | ess change                 | AUTISM SCIENCE  | FOUNDATION  |   | 26   | -4522        | 309                                   |
|                         | Name                          | e change                   | 106 WEST 32ND S   |   |   |  | phone num    |                                       |
|                         | Initial                       | return                     | NEW YORK, NY 10   | 001   |   | 91   | 4-552        | -1580                                 |
|                         | Final re                      | eturn/terminated           |   |   |   |  |              |                                       |
|                         | Amer                          | nded return                |   |   |   | G Gross  | s receipts   | \$ 1,427,207.                         |
|                         | Applie                        | cation pending             | F Name and address of princip   | al officer: ALISON SINC                               | FR  | H(a) Is this a group re                        | urn for sub  |                                       |
|                         |                               |                            | SAME AS C ABOVE   | IIIIIOON DIN  |   | H(b) Are all subordina<br>If 'No,' attach a li | es include   |                                       |
| I                       | Tax-exe                       | mpt status                 | X 501(c)(3) 501(c) (  | ) < (insert no.)                                      | 4947(a)(1) or 527                                       |  | st. (see ins |                                       |
| J                       | Websi                         | ite: ► WW                  | W.AUTISMSCIENCEF  | OUNDATION.ORG   |   | H(c) Group exemption                           | number 🕨     | -<br>-                                |
| ĸ                       | Form of                       | organization:              | X Corporation Trust   | Association Other                                     | L Year of forma   | tion: 2009                                     | State of I   | egal domicile: NY                     |
| P                       | art I                         | Summar                     | у   |   |   |  |              | · · · ·                               |
|                         | 1 Br                          | iefly descri               | be the organization's miss  | sion 'or most significant ac                          | tivities:ASF FUNDS                                      | SCIENTISTS                                     | COND         | UCTING                                |
| e                       |                               | UTTING-                    | EDGE_AUTISM_RESE  | ARCH TO DISCOVER                                      | THE CAUSES O  | F AUTISM ANI                                   | TOI          | DEVELOP                               |
| anc                     | B                             | ETTER T                    | REATMENTS. WE AL  | SO PROVIDE INFOR                                      | MATION ABOUT  | AUTISM TO TH                                   | IE PUP       | BLIC AND WORK                         |
| ü                       | <u>T</u>                      |                            | ASE AWARENESS OF  | THE NEEDS OF IN                                       | DIVIDUALS WIT   | H AUTISM ANI                                   | ) THE        | IR FAMILIES.                          |
| Governance              | 2 Ch                          | neck this bo               | x ► if the organization   | on discontinued its operat                            | ions or disposed of m                                   | ore than 25% of it                             | s net as     | sets.                                 |
| ං<br>ජ                  | 3 Nu<br>4 Nu                  | imper of vo                | ting members of the gove<br>dependent voting member                         | erning body (Part VI, line                            | la)<br>Port \//_line_1b\                                | •        | 3            |                                       |
| es                      | 5 To                          | tal number                 | of individuals employed i   | s of the governing body (<br>n calendar year 2016 (Pa | rait vi, iiile ib)                                      | •        | 4            |                                       |
| Activities &            | 6 To                          | tal number                 | of volunteers (estimate if  | necessarv)  |   | •        | 6            |                                       |
| Act                     | <b>7</b> a To                 | tal unrelate               | d business revenue from   | Part VIII, column (C), line                           | e 12  |  | 7a           | 0.                                    |
|                         |                               | et unrelated               | business taxable income   | from Form 990-T, line 34                              |   |  | 7b           | 0.                                    |
|                         |                               |                            |   |   |   | Prior Yea                                      |              | Current Year                          |
| 6                       | 8 Co                          | ontributions               | and grants (Part VIII, line   | e 1h)   |   | . 919,   | 033.         | 1,114,367.                            |
| Revenue                 | 9 Pr                          | ogram serv                 | ice revenue (Part VIII, line  | e 2g)   |   | . 267.   |              | 250,419.                              |
| eve                     | 10 Inv                        | estment in                 | come (Part VIII, column (   | A), lines 3, 4, and 7d)                               |   | . 14.  | 333.         | 10,692.                               |
| ũ                       |                               |                            | e (Part VIII, column (A), li  |   |   |  | 677.         | -143,556.                             |
|                         |                               |                            | - add lines 8 through 11  |   |   |  | 699.         | 1,231,922.                            |
|                         |                               |                            | milar amounts paid (Part  |   |   |  | 256.         | 385,742.                              |
|                         |                               |                            | to or for members (Part I   |   |   |  |              |                                       |
| Ś                       | <b>15</b> Sa                  |                            | r compensation, employe   |   |   |  | 928.         | 396,227.                              |
| Expenses                | 16a Pro                       | ofessional f               | undraising fees (Part IX,   | column (A), line 11e)                                 |   | . 30,  | 374.         | 28,493.                               |
| cpei                    | b To                          | tal fundrais               | ing expenses (Part IX, co   | lumn (D), line 25) 🕨                                  | 98,633.   |  |              |                                       |
| மி                      | 17 Oti                        | her expense                | es (Part IX, column (A <u>),</u> li   | nes 11a-11d, 11f-24e)                                 |   |  | 392          | 460,142.                              |
|                         |                               |                            | s. Add lines 13-17 (must  |   |   |  |              | 1,270,604.                            |
|                         |                               |                            | expenses. Subtract line 1   |   |   |  | 749.         | -38,682.                              |
| 2 8                     |                               |                            |   |   |   | Beginning of Curre                             |              | End of Year                           |
| land                    | 20 To                         | tal assets (l              | Part X, line 16)  |   |   |  |              | 1,029,141.                            |
| Assets or<br>d Balances | 21 To                         | tal liabilities            | s (Part X, line 26)   |   |   |  | 077.         | 203,089.                              |
| Net A<br>Fund           | 1                             | t assets or                | fund balances. Subtract li  | ne 21 from line 20                                    |   |  |              | 826,052.                              |
| -                       |                               | Signature                  |   |   |   | 0027   | 505.1        | 020,032.                              |
| and and the second      |                               |                            |   | urn, including accompanying scher                     | lules and statements, and to                            | the best of my knowledge                       | e and belie  | f it is true correct and              |
| comp                    | olete. Declar                 | ation of prepar            | clare that I have examined this retu<br>er (other than officer) is based on | all information of which preparer h                   | as any knowledge.                                       | and best of my falometig                       |              |                                       |
|                         |                               |                            |   |   |   |  |              |                                       |
| Sig                     | jn                            | Signature                  | e of officer  |   |   | Date   |              |                                       |
| He                      | re                            | > ALIS                     | ON SINGER   |   |   | PRESIDENT                                      |              |                                       |
|                         |                               | Type or p                  | print name and title  |   | · · · · ·   |  |              |                                       |
|                         |                               | Print/Type pre             | eparer's name   | Preparer s signature                                  | Date  | Check  | if P         | TIN                                   |
| Pai                     | id                            | DEREK I                    | FLANAGAN  | 1/au lun  | 9/20/   | 17 self-employ                                 | ved F        | 00396383                              |
| Pre                     | parer                         | Firm's name                | ► LEDERER, LEVI   | INE & ASSOCIATES                                      |   |  |              |                                       |
|                         | e Only                        | Firm's addres              |   | WEST SUITE 280  |   | Firm's EIN                                     | ▶ 22-        | 3778048                               |
|                         |                               |                            |   | J 07071   |   | Phone no.                                      |              | 933-3780                              |
| May                     | the IRS                       | discuss this               | s return with the preparer  |   | uctions)  |  |              | X Yes No                              |
| Personal statements     |                               |                            | duction Act Notice, see t   | -   |   | A0113L 11/16/16                                |              | Form <b>990</b> (2016)                |
|                         |                               |                            |   |   |   |  |              | · · · · · · · · · · · · · · · · · · · |

| Form | n 990 (2016)    | AUTISM SCIENCE               | FOUNDATION           |   |             |                  | 26-4           | 52230       | 9          | Page <b>2</b> |
|------|-----------------|------------------------------|----------------------|---|-------------|------------------|----------------|-------------|------------|---------------|
| Par  |                 | ement of Program Se          |                      |   |             |                  |                |             |            |               |
|      | Check           | if Schedule O contains a     | response or note     | to any line in this P                   | art III     |                  |                |             |            | X             |
| 1    | Briefly descri  | ibe the organization's mis   | sion:                |   |             |                  |                |             |            |               |
|      | SEE SCHE        | DULE O                       |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
| 2    | Did the organi  | ization undertake any signif | icant program servic | es during the year wl                   | hich were n | not listed on th | e prior        |             |            |               |
|      | Form 990 or     | 990-EZ?                      |                      |   |             |                  |                |             | Yes X      | No            |
|      | If 'Yes,' desc  | ribe these new services o    |                      |   |             |                  |                |             |            |               |
| 3    | Did the organ   | nization cease conducting    | , or make significar | nt changes in how i                     | t conducts  | , any program    | n services?    | 🔲           | Yes X      | No            |
|      |                 | ribe these changes on Sc     |                      | C C                                     |             |                  |                |             |            |               |
| 4    |                 | organization's program se    |                      | ents for each of its                    | three larc  | nest program     | services, as   | measure     | d by expe  | nses          |
|      | Section 501(    | c)(3) and 501(c)(4) organ    | zations are require  | d to report the amo                     | ount of gra | ints and alloca  | ations to othe | ers, the to | otal exper | ises,         |
|      | and revenue,    | , if any, for each program   | service reported.    |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   | •           |                  |                |             |            |               |
| 4 a  | (Code:          | ) (Expenses \$               | 1,053,605. i         | ncluding grants of                      | Ş           | 385,742.         | ) (Revenue     | Ş           | 250,4      | <u>119.</u> ) |
|      | <u>SEE_SCHE</u> | <u>DULE O</u>                |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
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|      |                 |                              |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
| 4 b  | (Code:          | ) (Expenses \$               | i                    | ncluding grants of                      | \$          |                  | ) (Revenue     | \$          |            | )             |
|      |                 |                              |                      | 5 | ·           |                  |                |             |            | /             |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
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|      |                 |                              |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
| 4 c  | : (Code:        | ) (Expenses \$               | i                    | ncluding grants of                      | \$          |                  | ) (Revenue     | \$          |            | )             |
|      |                 |                              |                      |   |             |                  | -              |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
|      |                 |                              |                      |   |             |                  |                |             |            |               |
| 4 d  | Other progra    | m services (Describe in S    | chedule O.)          |   |             |                  |                |             |            |               |
|      | (Expenses       | \$                           | including grants     | of \$                                   |             | ) (Revenue       | \$             |             | )          |               |
| 4 e  |                 | n service expenses           | 1,053,               |   |             | , ,              |                |             | /          |               |
|      |                 |                              | ±,000,               | TELADON 11/15/15                        |             |                  |                |             | Form 99    | (2016)        |

 Form 990 (2016)
 AUTISM SCIENCE FOUNDATION

 Part IV
 Checklist of Required Schedules

|      |  |      | Yes | No     |
|------|--|------|-----|--------|
| 1    | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If 'Yes,' complete Schedule A  | 1    | Х   |        |
| 2    | Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?  | 2    | Х   |        |
| 3    | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If 'Yes,' complete Schedule C, Part L</i>  | 3    |     | Х      |
| 4    | Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If 'Yes,' complete Schedule C, Part II   | 4    |     | Х      |
| 5    | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If 'Yes,' complete Schedule C, Part III  | 5    |     | Х      |
| 6    | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If 'Yes,' complete Schedule D, Part I</i>  | 6    |     | Х      |
| 7    | Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If 'Yes,' complete Schedule D, Part Il</i>  | 7    |     | Х      |
| 8    | Did the organization maintain collections of works of art, historical treasures, or other similar assets? If 'Yes,' complete Schedule D, Part III.   | 8    |     | Х      |
| 9    | Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If 'Yes,' complete Schedule D, Part IV.                  | 9    |     | Х      |
| 10   | Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If 'Yes,' complete Schedule D, Part V</i>  | 10   |     | Х      |
| 11   | If the organization's answer to any of the following questions is 'Yes', then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.  |      |     |        |
| â    | Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If 'Yes,' complete Schedule D, Part VI.   | 11 a | Х   |        |
| ł    | Did the organization report an amount for investments – other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VII  | 11 b |     | Х      |
| C    | Did the organization report an amount for investments – program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part VIII  | 11 c |     | Х      |
| C    | Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If 'Yes,' complete Schedule D, Part IX.  | 11 d |     | Х      |
| e    | Did the organization report an amount for other liabilities in Part X, line 25? If 'Yes,' complete Schedule D, Part X  | 11 e |     | Х      |
| f    | Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If 'Yes,' complete Schedule D, Part X</i>  | 11 f | Х   |        |
| 12 a | Did the organization obtain separate, independent audited financial statements for the tax year? If 'Yes,' complete Schedule D, Parts XI and XII   | 12a  |     | Х      |
| ł    | Was the organization included in consolidated, independent audited financial statements for the tax year? If 'Yes,' and if the organization answered 'No' to line 12a, then completing Schedule D, Parts XI and XII is optional  | 12b  |     | Х      |
| 13   | Is the organization a school described in section 170(b)(1)(A)(ii)? If 'Yes,' complete Schedule E  | 13   |     | Х      |
| 14 a | Did the organization maintain an office, employees, or agents outside of the United States?  | 14a  |     | Х      |
| ł    | Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If 'Yes,' complete Schedule F, Parts I and IV</i> | 14b  |     | Х      |
| 15   | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If 'Yes,' complete Schedule F, Parts II and IV   | 15   |     | Х      |
| 16   | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If 'Yes,' complete Schedule F, Parts III and IV   | 16   |     | Х      |
| 17   | Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If 'Yes,' complete Schedule G, Part I (see instructions)  | 17   | Х   |        |
| 18   | Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If 'Yes,' complete Schedule G, Part II</i>  | 18   | Х   |        |
| 19   | Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If 'Yes,' complete Schedule G, Part III</i>  | 19   |     | Х      |
| BAA  | TEEA0103L 11/16/16   | Form | 990 | (2016) |

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Form 990 (2016) AUTISM SCIENCE FOUNDATION

| Par  | t IV Checklist of Required Schedules (continued)  |               |                |          |
|------|---|---------------|----------------|----------|
|      |   |               | Yes            | No       |
| 20a  | Did the organization operate one or more hospital facilities? If 'Yes,' complete Schedule H   | . <b>20</b> a |                | Х        |
| b    | If 'Yes' to line 20a, did the organization attach a copy of its audited financial statements to this return?  | . 20b         |                |          |
| 21   | Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? <i>If 'Yes,' complete Schedule I, Parts I and II.</i>   | . 21          | Х              |          |
| 22   | Did the organization report more than \$5,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If 'Yes,' complete Schedule I, Parts I and III   | . 22          |                | Х        |
| 23   | Did the organization answer 'Yes' to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If 'Yes,' complete Schedule J</i> .   | . 23          | х              |          |
|      | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If 'Yes,' answer lines 24b through 24d and complete Schedule K. If 'No, 'go to line 25a                                    |               |                | Х        |
| ł    | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?   | . 24b         |                | <u> </u> |
|      | : Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?  |               |                |          |
| C    | Did the organization act as an 'on behalf of' issuer for bonds outstanding at any time during the year?   | . 24d         |                |          |
| 25 a | Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If 'Yes,' complete Schedule L, Part I  | . 25a         |                | Х        |
| ł    | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and<br>that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If 'Yes,' complete<br>Schedule L, Part I.  | . 25b         |                | х        |
| 26   | Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If 'Yes,' complete Schedule L, Part II.</i>                                 | . 26          |                | Х        |
| 27   | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If 'Yes,' complete Schedule L, Part III.</i> | . 27          |                | X        |
| 28   | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):   |               |                |          |
| ä    | A current or former officer, director, trustee, or key employee? If 'Yes,' complete Schedule L, Part IV   | . <b>28a</b>  |                | Х        |
| ł    | A family member of a current or former officer, director, trustee, or key employee? <i>If 'Yes,' complete Schedule L, Part IV</i>   | . 28b         |                | х        |
| C    | An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If 'Yes,' complete Schedule L, Part IV  | . 28c         |                | Х        |
| 29   | Did the organization receive more than \$25,000 in non-cash contributions? If 'Yes,' complete Schedule M  | . 29          |                | Х        |
| 30   | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If 'Yes,' complete Schedule M</i>   | . 30          |                | Х        |
| 31   | Did the organization liquidate, terminate, or dissolve and cease operations? If 'Yes,' complete Schedule N, Part I  | . 31          |                | Х        |
| 32   | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If 'Yes,' complete Schedule N, Part Il.   | . 32          |                | Х        |
| 33   | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If 'Yes,' complete Schedule R, Part L</i>   | . 33          |                | Х        |
|      | Was the organization related to any tax-exempt or taxable entity? If 'Yes,' complete Schedule R, Part II, III, or IV, and Part V, line 1  |               |                | Х        |
| 35 a | Did the organization have a controlled entity within the meaning of section 512(b)(13)?   | . <b>35a</b>  |                | Х        |
| ł    | If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' complete Schedule R, Part V, line 2   | . 35b         |                |          |
| 36   | Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If 'Yes,' complete Schedule R, Part V, line 2  | . 36          |                | Х        |
| 37   | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If 'Yes,' complete Schedule R, Part VI</i>  | . 37          |                | Х        |
| 38   | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?<br>Note. All Form 990 filers are required to complete Schedule O   |               | Х              |          |
| BAA  |   | Form          | 1 <b>990</b> ( | (2016)   |

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| Form 990 (2016) AUTISM SCIENCE FOUNDATION 26-452230   | 19  | Ρ   | age 5 |
|---|-----|-----|-------|
| Part V Statements Regarding Other IRS Filings and Tax Compliance  |     |     |       |
| Check if Schedule O contains a response or note to any line in this Part V  |     |     |       |
|   |     | Yes | No    |
| 1 a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable 1 a  |     |     |       |
| <b>b</b> Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable  | )   |     |       |
| c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming  | -   |     |       |
| (gambling) winnings to prize winners?   | 1 c |     |       |
| 2 a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax State-  |     |     |       |
| ments, filed for the calendar year ending with or within the year covered by this return 2a   | 5   |     |       |
| <b>b</b> If at least one is reported on line 2a, did the organization file all required federal employment tax returns?   | 2 b | Х   |       |
| Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)   |     |     |       |
| 3 a Did the organization have unrelated business gross income of \$1,000 or more during the year?   | 3 a |     | Х     |
| <b>b</b> If 'Yes,' has it filed a Form 990-T for this year? If 'No' to line 3b, provide an explanation in Schedule O  | 3 b |     |       |
| 4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a  |     |     |       |
| financial account in a foreign country (such as a bank account, securities account, or other financial account)?  | 4 a |     | Х     |
| b If 'Yes,' enter the name of the foreign country: ►  |     |     |       |
| See instructions for filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR).   |     |     |       |
| 5 a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?   | 5 a |     | Х     |
| <b>b</b> Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?   | 5 b |     | Х     |
| c If 'Yes,' to line 5a or 5b, did the organization file Form 8886-T?  | 5 c |     |       |
|   |     |     |       |
| 6 a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? | 6a  |     | Х     |
| <b>b</b> If 'Yes,' did the organization include with every solicitation an express statement that such contributions or gifts were  | 00  |     |       |
| not tax deductible?   | 6b  |     |       |
| 7 Organizations that may receive deductible contributions under section 170(c).   |     |     |       |
|   |     |     |       |
| a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?   | 7 a | Х   |       |
| <b>b</b> If 'Yes,' did the organization notify the donor of the value of the goods or services provided?  | 7 b | Х   |       |
| c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file   |     |     |       |
| Form 8282?  | 7 c |     | Х     |
| d If 'Yes,' indicate the number of Forms 8282 filed during the year 7 d   |     |     |       |
| e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?   | 7 e |     | Х     |
| f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  | 7 f |     | Х     |
| g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899   |     |     |       |
| as required?  | 7 g |     |       |
| h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a   |     |     |       |
| Form 1098-C?  | 7 h |     |       |
| 8 Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring   | •   |     |       |
| organization have excess business holdings at any time during the year?   | 8   |     |       |
| 9 Sponsoring organizations maintaining donor advised funds.   |     |     |       |
| a Did the sponsoring organization make any taxable distributions under section 4966?  | 9 a |     |       |
| <b>b</b> Did the sponsoring organization make a distribution to a donor, donor advisor, or related person?  | 9 b |     |       |
| 10 Section 501(c)(7) organizations. Enter:  |     |     |       |
| a Initiation fees and capital contributions included on Part VIII, line 12 10a  |     |     |       |
| <b>b</b> Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities <b>10 b</b>  |     |     |       |
| 11 Section 501(c)(12) organizations. Enter:   |     |     |       |
| a Gross income from members or shareholders   |     |     |       |
| b Gross income from other sources (Do not net amounts due or paid to other sources  |     |     |       |
| against amounts due or received from them.)   |     |     |       |
| 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?  | 12a |     |       |
| <b>b</b> If 'Yes,' enter the amount of tax-exempt interest received or accrued during the year  | _   |     |       |
| 13 Section 501(c)(29) qualified nonprofit health insurance issuers.   |     |     |       |
| a Is the organization licensed to issue qualified health plans in more than one state?  | 13a |     |       |
| Note. See the instructions for additional information the organization must report on Schedule O.   |     |     |       |
| <b>b</b> Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans  |     |     |       |
|   | -   |     |       |
| c Enter the amount of reserves on hand  |     |     | v     |
| <b>14a</b> Did the organization receive any payments for indoor tanning services during the tax year?   | 14a |     | Х     |
| <b>b</b> If 'Yes,' has it filed a Form 720 to report these payments? <i>If 'No,' provide an explanation in Schedule O</i>   | 14b |     | 0010  |

| 1:   | a Enter the number of voting members of the governing body at the end of the tax year       1 a       6         If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad       6  | -                                    |             |        |
|--|---|--------------------------------------|-------------|--------|
|  | authority to an executive committee or similar committee, explain in Schedule O.  |                                      |             |        |
|  | <b>b</b> Enter the number of voting members included in line 1a, above, who are independent <b>1b</b> 5   |                                      |             |        |
| 2  | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?   |                                      | V           |        |
|  |   | 2                                    | Х           |        |
| 3  | of officers, directors, or trustees, or key employees to a management company or other person?  | 3                                    |             | Х      |
| 4  | Did the organization make any significant changes to its governing documents  |                                      |             | v      |
| -  | since the prior Form 990 was filed?<br>Did the organization become aware during the year of a significant diversion of the organization's assets?   | 4                                    |             | X<br>X |
| 5  | Did the organization become aware during the year of a significant diversion of the organization's assets?  | 5                                    |             | X      |
|  | <ul> <li>a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?</li> </ul>  | 0<br>7a                              |             | X      |
|  | <b>b</b> Are any governance decisions of the organization reserved to (or subject to approval by) members,  | 7 a                                  |             | Λ      |
|  | stockholders, or persons other than the governing body?   | 7 b                                  |             | Х      |
| 8  | the following:  |                                      |             |        |
|  | a The governing body?   | 8 a                                  |             |        |
|  | <b>b</b> Each committee with authority to act on behalf of the governing body?  | 8 b                                  |             | Х      |
| 9  | organization's mailing address? If 'Yes,' provide the names and addresses in Schedule O   | 9                                    |             | Х      |
| Sec  | ction B. Policies (This Section B requests information about policies not required by the Internal Re   | eveni                                | 1           | ode.)  |
|  |   |                                      | Yes         | No     |
|  | a Did the organization have local chapters, branches, or affiliates?  | 10 a                                 |             | Х      |
|  | <b>b</b> If 'Yes,' did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?   | 10 b                                 |             |        |
|  | a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?   | 11 a                                 | Х           |        |
|  | <b>b</b> Describe in Schedule O the process, if any, used by the organization to review this Form 990. SEE SCHEDULE O   |                                      |             |        |
|  | <b>a</b> Did the organization have a written conflict of interest policy? <i>If 'No,' go to line 13</i>   | 12a                                  | Х           |        |
|  | <b>b</b> Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?  | 12b                                  | Х           |        |
| (  | c Did the organization regularly and consistently monitor and enforce compliance with the policy? If 'Yes,' describe in   |                                      |             |        |
|  | Schedule O how this was doneSEE SCHEDULE . Q  | 12 c                                 |             |        |
|  | Did the organization have a written whistleblower policy?   | -                                    | Х           |        |
| 14   | Did the organization have a written whistleblower policy?<br>Did the organization have a written document retention and destruction policy?   | -                                    |             |        |
| 14   | Did the organization have a written whistleblower policy?   | 13                                   | Х           |        |
| 14<br>15                                   | Did the organization have a written whistleblower policy?<br>Did the organization have a written document retention and destruction policy?<br>Did the process for determining compensation of the following persons include a review and approval by independent   | 13                                   | X<br>X      |        |
| 14<br>15                                   | Did the organization have a written whistleblower policy?<br>Did the organization have a written document retention and destruction policy?<br>Did the process for determining compensation of the following persons include a review and approval by independent<br>persons, comparability data, and contemporaneous substantiation of the deliberation and decision?  | 13<br>14                             | X<br>X<br>X | X      |
| 14<br>15                                   | Did the organization have a written whistleblower policy?<br>Did the organization have a written document retention and destruction policy?<br>Did the process for determining compensation of the following persons include a review and approval by independent<br>persons, comparability data, and contemporaneous substantiation of the deliberation and decision?<br><b>a</b> The organization's CEO, Executive Director, or top management official. SEE . SCHEDULE. O.   | 13<br>14<br>15a                      | X<br>X<br>X | X      |
| 14<br>15<br>1                              | Did the organization have a written whistleblower policy?<br>Did the organization have a written document retention and destruction policy?<br>Did the process for determining compensation of the following persons include a review and approval by independent<br>persons, comparability data, and contemporaneous substantiation of the deliberation and decision?<br><b>a</b> The organization's CEO, Executive Director, or top management officialSEESCHEDULEO<br><b>b</b> Other officers or key employees of the organization.  | 13<br>14<br>15a                      | X<br>X<br>X | X      |
| 14<br>15<br>1<br>16;                       | Did the organization have a written whistleblower policy?   | 13<br>14<br>15a<br>15b               | X<br>X<br>X |        |
| 14<br>15<br>16;<br>16;                     | <ul> <li>Did the organization have a written whistleblower policy?</li> <li>Did the organization have a written document retention and destruction policy?</li> <li>Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?</li> <li><b>a</b> The organization's CEO, Executive Director, or top management official. SEE . SCHEDULE0.</li> <li><b>b</b> Other officers or key employees of the organization.</li> <li>If 'Yes' to line 15a or 15b, describe the process in Schedule O (see instructions).</li> <li><b>a</b> Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?</li> <li><b>b</b> If 'Yes,' did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?</li> <li><b>ction C. Disclosure</b></li> </ul>   | 13<br>14<br>15a<br>15b<br>16a        | X<br>X<br>X |        |
| 14<br>15<br>16;<br>16;                     | <ul> <li>Did the organization have a written whistleblower policy?</li> <li>Did the organization have a written document retention and destruction policy?</li> <li>Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?</li> <li><b>a</b> The organization's CEO, Executive Director, or top management official. SEE . SCHEDULE. O.</li> <li><b>b</b> Other officers or key employees of the organization.</li> <li>If 'Yes' to line 15a or 15b, describe the process in Schedule O (see instructions).</li> <li><b>a</b> Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?</li> <li><b>b</b> If 'Yes,' did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?</li> </ul>   | 13<br>14<br>15a<br>15b<br>16a        | X<br>X<br>X |        |
| 14<br>15<br>16;<br>16;                     | Did the organization have a written whistleblower policy?   | 13<br>14<br>15a<br>15b<br>16a<br>16b | X<br>X<br>X | X      |
| 14<br>15<br>16;<br>16;<br><u>Sec</u><br>17 | Did the organization have a written whistleblower policy?   | 13<br>14<br>15a<br>15b<br>16a<br>16b | X<br>X<br>X | X      |
| 14<br>15<br>16<br>16<br>17<br>18           | Did the organization have a written whistleblower policy?   | 13<br>14<br>15a<br>15b<br>16a<br>16b | X<br>X<br>X | X      |
| 14<br>15<br>16<br>16<br>17<br>18           | Did the organization have a written whistleblower policy?.         Did the organization have a written document retention and destruction policy?         Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?         a The organization's CEO, Executive Director, or top management official. SEE . SCHEDULE0.         b Other officers or key employees of the organization         If 'Yes' to line 15a or 15b, describe the process in Schedule O (see instructions).         a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?         b If 'Yes,' did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?         ction C. Disclosure         List the states with which a copy of this Form 990 is required to be filed ▶ <u>NY</u> Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s for public inspection. Indicate how you made these available. Check all that apply.         X Own website       X Upon request       Other (explain in Schedule O)         Describe in Schedule 0 whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements availa the public during the tax year.<    | 13<br>14<br>15a<br>15b<br>16a<br>16b | X<br>X<br>X | X      |
| 14<br>15<br>16;<br>16;<br>17<br>18<br>19   | Did the organization have a written whistleblower policy?.         Did the organization have a written document retention and destruction policy?         Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?         a The organization's CEO, Executive Director, or top management official. SEE . SCHEDULE. O.         b Other officers or key employees of the organization         If 'Yes' to line 15a or 15b, describe the process in Schedule O (see instructions).         a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?         b If 'Yes,' did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?         ction C. Disclosure         List the states with which a copy of this Form 990 is required to be filed ▶ <u>NY</u> Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3) stor for ublic inspection. Indicate how you made these available. Check all that apply.         X Own website       X Upon request       Other (explain in Schedule O)         Describe in Schedule 0 whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements availa the public during the tax year | 13<br>14<br>15a<br>15b<br>16a<br>16b | X<br>X<br>X | X      |

#### Form 990 (2016) AUTISM SCIENCE FOUNDATION

Section A. Governing Body and Management

Part VI Governance, Management, and Disclosure For each 'Yes' response to lines 2 through 7b below, and for a 'No' response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

| Check if Schedule | O contains a | response or r | note to any | / line in this | : Part VI   |
|-------------------|--------------|---------------|-------------|----------------|-------------|
|                   |              |               |             |                | 5 1 0 1 1 1 |

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No

Yes

|  |  |                                   |                       |              |              |                                 |              |   | 26 45222                                      |  |
|--|--|-----------------------------------|-----------------------|--------------|--------------|---------------------------------|--------------|---|---|--|
| Form 990 (2016) AUTISM SCIENCE FOUNDAT<br>Part VII Compensation of Officers, Director<br>Independent Contractors   |  | stee                              | es, k                 | ٢ey          | ' En         | nplo                            | bye          | es, Highest C                                 | 26-45223<br>ompensated En                     |  |
| Check if Schedule O contains a response of   | or note to   | anv                               | line                  | in t         | his F        | Part                            | VII.         |   |   |  |
| Section A. Officers, Directors, Trustees, Ke   |  |                                   |                       |              |              |                                 |              |   |   |  |
| <b>1 a</b> Complete this table for all persons required to be listed organization's tax year.  | . Report co  | ompe                              | nsat                  | ion f        | for th       | ne ca                           | lenc         | ar year ending wit                            | h or within the                               |  |
| • List all of the organization's <b>current</b> officers, direcompensation. Enter -0- in columns (D), (E), and (F) in  |  |                                   |                       |              |              |                                 | dua          | ls or organization                            | s), regardless of an                          | nount of   |
| <ul> <li>List all of the organization's current key employed</li> </ul>  |  |                                   |                       |              |              |                                 |              |   |   |  |
| • List the organization's five <b>current</b> highest comp<br>who received reportable compensation (Box 5 of Form<br>organization and any related organizations. | ensated e<br>W-2 and/  | mplo<br>or B                      | oyee:<br>ox 7         | s (o<br>of F | ther<br>Form | thar<br>1 109                   | n ar<br>99-N | n officer, director,<br>/IISC) of more tha    | trustee, or key emp<br>in \$100,000 from th   | bloyee)<br>e   |
| • List all of the organization's <b>former</b> officers, key of reportable compensation from the organization and any  |  |                                   |                       |              | est c        | omp                             | ens          | ated employees v                              | who received more t                           | han \$100,000  |
| • List all of the organization's <b>former directors or truste</b> organization, more than \$10,000 of reportable compen   |  |                                   |                       |              |              |                                 |              |   |   |  |
| List persons in the following order: individual trustees employees; and former such persons.   | or directo   | rs; in                            | stitu                 | ition        | nal tr       | ruste                           | es;          | officers; key emp                             | loyees; highest con                           | npensated  |
| Check this box if neither the organization nor any related   | ed organiz   | ation                             | com                   | ipen         | sate         | d an <u>y</u>                   | y cu         | rrent officer, direct                         | or, or trustee.                               |  |
|  |  |                                   |                       | (C)          |              |                                 |              |   |   |  |
| (A)<br>Name and Title  | (B)<br>Average<br>hours  | thar                              | n one<br>s both       | box,<br>an o | unles        | eck mo<br>s pers<br>and a<br>e) | on           | <b>(D)</b><br>Reportable<br>compensation from | <b>(E)</b><br>Reportable<br>compensation from | <b>(F)</b><br>Estimated<br>amount of other                               |
|  | per<br>week<br>(list any<br>hours for<br>related<br>organiza-<br>tions<br>below<br>dotted<br>line) | Individual trustee<br>or director | Institutional trustee | Officer      | Key employee | Highest compensated             | Former       | the organization<br>(W-2/1099-MISC)           | related organizations<br>(W-2/1099-MISC)      | compensation<br>from the<br>organization<br>and related<br>organizations |
| (1) GREGG IRELAND  | 1  |                                   |                       |              |              | u                               |              |   |   |  |
| DIRECTOR   | 0  | Х                                 |                       |              |              |                                 |              | 0.  | 0.  | 0.   |
| (2) ALISON SINGER  | 60   |                                   |                       |              |              |                                 |              |   |   |  |
| PRES/CHAIR   | 0  | Х                                 |                       | Х            |              |                                 |              | 120,000.                                      | 0.  | 0.   |
| (3) PAUL OFFIT, MD   | 1  |                                   |                       |              |              |                                 |              | _   | _   | -  |
| SECRETARY/TRES   | 0  | Х                                 |                       | Х            |              |                                 |              | 0.  | 0.  | 0.   |
| _(4)_KAREN_LONDON  |  | .,,                               |                       |              |              |                                 |              | ^   | _   | <u>^</u>   |
| DIRECTOR   | 0  | Х                                 |                       |              |              |                                 |              | 0.  | 0.  | 0.   |

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|  |   |      |  |  |   |   |  |

DIRECTOR

DIRECTOR

(6) ZENA TAMLER

DIRECTOR

(8)

(9)

(10)

(11)

(12)

(13)

(14)

BAA

(5) MICHAEL LEWIS

7 ALYCIA HALLADAY ROSS CHIEF SCIENCE OFF.

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| Part V          | /II Section A. Officers, Directors, Tru   | ıstees, l                    | Key                               | En                   | ıplo            | oye                | es, a                           | and         | d Highest Com  | pensated Emplo                                | oyees              | (conti                           | nued) |
|-----------------|---|------------------------------|-----------------------------------|----------------------|-----------------|--------------------|---------------------------------|-------------|--|---|--------------------|----------------------------------|-------|
|                 |   | (B)                          |                                   |                      | (0              | •                  |                                 |             |  |   |                    |                                  |       |
|                 | (A)<br>Name and title   | Average<br>hours<br>per      | box                               | , unle               | check<br>ess pe | erson              | e than<br>is both<br>or/trus    | h an        | <b>(D)</b><br>Reportable<br>compensation from  | <b>(E)</b><br>Reportable<br>compensation from |                    | (F)<br>stimated                  |       |
|                 |   | week<br>(list any<br>hours   | oro                               | Inst                 | Off             | Kej                | High                            | For         | the organization<br>(W-2/1099-MISC)  | related organizations<br>(W-2/1099-MISC)      | com<br>fr          | pensation of the                 | on    |
|                 |   | for<br>related               | Individual trustee<br>or director | nstitutional trustee | Officer         | Key employee       | Highest compensated<br>employee | Former      |  |   | añ                 | anizatio<br>d relate<br>anizatio | d     |
|                 |   | organiza<br>- tions<br>below | al tru<br>pr                      | nal t                |                 | bloye              | comp                            |             |  |   | orgi               | amzatio                          | 15    |
|                 |   | dotted<br>line)              | stee                              | ustee                |                 | ¢                  | ensat                           |             |  |   |                    |                                  |       |
|                 |   |                              |                                   | < D                  |                 |                    | ed                              |             |  |   |                    |                                  |       |
| (15)            |   |                              |                                   |                      |                 |                    |                                 |             |  |   |                    |                                  |       |
| (16)            |   |                              |                                   |                      |                 |                    |                                 |             |  |   |                    |                                  |       |
| (17)            |   |                              |                                   |                      |                 |                    |                                 |             |  |   |                    |                                  |       |
| (10)            |   |                              | -                                 |                      |                 |                    |                                 |             |  |   |                    |                                  |       |
| (18)            |   |                              |                                   |                      |                 |                    |                                 |             |  |   |                    |                                  |       |
| (19)            |   |                              |                                   |                      |                 |                    |                                 |             |  |   |                    |                                  |       |
| (20)            |   |                              |                                   |                      |                 |                    |                                 |             |  |   |                    |                                  |       |
| (21)            |   |                              |                                   |                      |                 |                    |                                 |             |  |   |                    |                                  |       |
| (22)            |   |                              |                                   |                      |                 |                    |                                 |             |  |   |                    |                                  |       |
|                 |   |                              | •                                 |                      |                 |                    |                                 |             |  |   |                    |                                  |       |
| (23)            |   |                              |                                   |                      |                 |                    |                                 |             |  |   |                    |                                  |       |
| (24)            |   |                              |                                   |                      |                 |                    |                                 |             |  |   |                    |                                  |       |
| (25)            |   |                              |                                   |                      |                 |                    |                                 |             |  |   |                    |                                  |       |
| 11.0            |   |                              |                                   |                      |                 |                    |                                 | •           | 075 106  |   |                    |                                  | 0     |
|                 | ub-total  |                              |                                   |                      |                 |                    |                                 | •           | <u>275,186.</u><br>0.  | 0.  |                    |                                  | 0.    |
|                 | otal (add lines 1b and 1c)  |                              |                                   |                      |                 |                    |                                 |             | 275,186.   | 0.  |                    |                                  | 0.    |
| <b>2</b> To     | tal number of individuals (including but not limited  |                              |                                   |                      |                 |                    |                                 | ved         |  |   | ensatior           | ſ                                |       |
| fro             | om the organization  2  |                              |                                   |                      |                 |                    |                                 |             |  |   |                    |                                  |       |
| 3 0             | d Alexandre State link and <b>f</b> amma afficient disc   |                              |                                   | 1                    |                 |                    |                                 | I-          | :  |   |                    | Yes                              | No    |
|                 | d the organization list any <b>former</b> officer, direc<br>i line 1a? <i>If 'Yes,' complete Schedule J for suc</i> |                              |                                   |                      |                 |                    |                                 |             |  |   | 3                  |                                  | Х     |
| <b>4</b> Fo     | or any individual listed on line 1a, is the sum of  | reportab                     | le co                             | mpe                  | ensa            | ițion              | and                             | oţh         | er compensation  | from  |                    |                                  |       |
| th<br><i>SL</i> | e organization and related organizations greate   | er than \$1                  | 50,00                             | JU ?<br>             | <i>ΙΤ΄</i> Υ    | r <i>es,</i><br>   |                                 | пріе<br>    | te Schedule J for  |   | 4                  | Х                                |       |
| 5 Di<br>fo      | d any person listed on line 1a receive or accru<br>r services rendered to the organization? If 'Yes                 | e compen<br><i>.' comple</i> | nsatio<br>ete So                  | n fr<br>chea         | om<br>lule      | any<br><i>J fo</i> | unre<br>r suc                   | late        | d organization or  | individual                                    | 5                  |                                  | Х     |
| Sectio          | n B. Independent Contractors  |                              |                                   |                      |                 |                    |                                 |             |  |   |                    |                                  |       |
| 1 Co            | omplete this table for your five highest compen<br>mpensation from the organization. Report compen                  | sated inde<br>sation for     | epen<br>the c                     | dent<br>alen         | t coi<br>dar '  | ntra<br>vear       | ctors<br>endii                  | tha<br>ng v | t received more the transformed to the termination of term | han \$100,000 of<br>ganization's tax year.    |                    |                                  |       |
|                 | (A)<br>Name and business add  |                              |                                   |                      | <u> </u>        | <b>)</b>           |                                 | <u> </u>    | (B)<br>Description   | -<br>-  | <b>((</b><br>Compe | <b>c)</b><br>nsatic              | n     |
| GLOBAI          | IMPACT PRODUCTIONS 127 W 26TH ST.,  |                              | NEW                               | YO                   | RK,             | NY                 | 100                             | 001         |  |   |                    | 22,1                             |       |
|                 |   |                              | -                                 |                      |                 | _                  |                                 |             |  |   |                    | , -                              |       |
|                 |   |                              |                                   |                      |                 |                    |                                 |             |  |   |                    |                                  |       |
|                 |   |                              |                                   |                      |                 |                    |                                 |             |  |   |                    |                                  |       |
| <b>2</b> To     | tal number of independent contractors (including b  | out not limi                 | ited to                           | o tha                | ose l           | listed             | d abo                           | ve)         | who received more  | than  |                    |                                  |       |
|                 | 00,000 of compensation from the organization  |                              |                                   |                      |                 |                    |                                 |             |  |   |                    |                                  |       |

26-4522309

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|                           | Check if Schedule O contains a respor  |                | (A)           | (B)   | (C)                              | (D)  |
|---------------------------|--|----------------|---------------|---|----------------------------------|--|
|                           |  |                | Total revenue | Related or<br>exempt<br>function<br>revenue | Unrelated<br>business<br>revenue | Revenue<br>excluded from ta<br>under sections<br>512-514 |
| and Other Similar Amounts | a Federated campaigns 1a   |                |               |   |                                  |  |
| Amounts<br>1              | b Membership dues 1b   |                |               |   |                                  |  |
| A                         | c Fundraising events1 cd Related organizations1 d                                    | 300,760.       |               |   |                                  |  |
| nila                      | e Government grants (contributions) 1 e  |                |               |   |                                  |  |
| 20                        |  |                |               |   |                                  |  |
| ther                      | f All other contributions, gifts, grants, and similar amounts not included above 1 f | 813,607.       |               |   |                                  |  |
| o<br>P                    | g Noncash contributions included in lines 1a-1f: \$                                  | ·              |               |   |                                  |  |
|                           | h Total. Add lines 1a-1f   |                | 1,114,367.    |   |                                  |  |
|                           |  | Business Code  | 050 410       | 050 410                                     |                                  |  |
| 2                         | <sup>2</sup> a <u>PROGRAM SERVICE FEES 9</u><br>b                                    | 00099          | 250,419.      | 250,419.                                    |                                  |  |
| 3                         | c  |                |               |   |                                  |  |
|                           | d  |                |               |   |                                  |  |
|                           | e  |                |               |   |                                  |  |
| ŝ                         | f All other program service revenue  |                |               |   |                                  |  |
| -                         | g Total. Add lines 2a-2f   |                | 250,419.      |   |                                  |  |
| 3                         | Investment income (including dividends, other similar amounts)                       | interest and ► | 9,249.        |   |                                  | 9,249  |
| 4                         |  |                | 9,249.        |   |                                  | 9,243  |
| 5                         |  |                |               |   |                                  |  |
|                           | (i) Real   | (ii) Personal  |               |   |                                  |  |
| 6                         | a Gross rents  |                |               |   |                                  |  |
|                           | <b>b</b> Less: rental expenses   |                |               |   |                                  |  |
|                           | c Rental income or (loss) d Net rental income or (loss)                              | <b>&gt;</b>    |               |   |                                  |  |
| Ι,                        | (i) Securities   | (ii) Other     |               |   |                                  |  |
| 1                         | a Gross amount from sales of assets other than inventory 51, 443.                    |                |               |   |                                  |  |
|                           | <b>b</b> Less: cost or other basis   |                |               |   |                                  |  |
|                           | and sales expenses 50,000.   |                |               |   |                                  |  |
|                           | c Gain or (loss) 1,443.  |                |               |   |                                  |  |
|                           | d Net gain or (loss)   |                | 1,443.        |   |                                  | 1,443  |
| 8                         | a Gross income from fundraising events<br>(not including \$ 300,760.                 |                |               |   |                                  |  |
|                           | of contributions reported on line 1c).   |                |               |   |                                  |  |
| 2                         | See Part IV, line 18 a   |                |               |   |                                  |  |
|                           | <b>b</b> Less: direct expenses <b>b</b>  | 145,285.       |               |   |                                  |  |
| 5                         | c Net income or (loss) from fundraising even   | ents ►         | -145,285.     |   |                                  | -145,285   |
| 9                         | a Gross income from gaming activities.<br>See Part IV, line 19 a                     |                |               |   |                                  |  |
|                           | <b>b</b> Less: direct expenses <b>b</b>  |                |               |   |                                  |  |
|                           | <b>c</b> Net income or (loss) from gaming activiti                                   | ies ►          |               |   |                                  |  |
| 10                        | a Gross sales of inventory, less returns   |                |               |   |                                  |  |
|                           | and allowances a   |                |               |   |                                  |  |
|                           | <b>b</b> Less: cost of goods sold <b>b</b>   |                |               |   |                                  |  |
| _                         | c Net income or (loss) from sales of invent<br>Miscellaneous Revenue                 | Business Code  |               |   |                                  |  |
| 11                        |  | 00099          | 1,729.        |   |                                  | 1,729  |
| ''                        | <b>b</b>   | 00033          | 1,129.        |   |                                  | 1,723  |
|                           | c  |                |               |   |                                  |  |
|                           | d All other revenue  |                |               |   |                                  |  |
|                           | e Total. Add lines 11a-11d   |                | 1,729.        |   |                                  |  |
| 12                        | 2 Total revenue. See instructions  | ••••••         | 1,231,922.    | 250,419.                                    | 0.                               | -132,864   |

|               |  | (A)                          |   |  |                                       |
|---------------|--|------------------------------|---|--|---------------------------------------|
| Do r<br>6b, 1 | not include amounts reported on lines<br>7b, 8b, 9b, and 10b of Part VIII.   | <b>(A)</b><br>Total expenses | <b>(B)</b><br>Program service<br>expenses | <b>(C)</b><br>Management and<br>general expenses | <b>(D)</b><br>Fundraising<br>expenses |
| 1             | Grants and other assistance to domestic<br>organizations and domestic governments.<br>See Part IV, line 21   | 380,742.                     | 380,742.                                  |  |                                       |
| 2             | Grants and other assistance to domestic individuals. See Part IV, line 22  | 500,742.                     | 500,742.                                  |  |                                       |
| 3             | Grants and other assistance to foreign   |                              |   |  |                                       |
|               | organizations, foreign governments, and for-<br>eign individuals. See Part IV, lines 15 and 16   | 5,000.                       | 5,000.                                    |  |                                       |
| 4             | Benefits paid to or for members  |                              |   |  |                                       |
| 5             | Compensation of current officers, directors, trustees, and key employees   | 120,000.                     | 78,000.                                   | 12,000.  | 30,000                                |
| 6             | Compensation not included above, to<br>disqualified persons (as defined under<br>section 4958(f)(1)) and persons described<br>in section 4958(c)(3)(B)   | 0.                           | 0.  | 0.   | 0                                     |
| 7             | Other salaries and wages   | 241,331.                     | 206,327.                                  | 35,004.  |                                       |
| 8             | Pension plan accruals and contributions<br>(include section 401(k) and 403(b)<br>employer contributions)   |                              |   |  |                                       |
| 9             | Other employee benefits  | 8,877.                       | 4,438.                                    | 4,439.   |                                       |
| 10            | Payroll taxes  | 26,019.                      | 20,080.                                   | 3,298.   | 2,641                                 |
|               | Fees for services (non-employees):   |                              |   |  |                                       |
|               | Management   |                              |   |  |                                       |
|               | Legal  |                              |   |  |                                       |
|               |  | 20,715.                      |   | 20,715.  |                                       |
|               | Lobbying   |                              |   |  |                                       |
|               | Professional fundraising services. See Part IV, line 17  | 28,493.                      |   |  | 28,493                                |
|               | Investment management fees<br>Other. (If line 11g amount exceeds 10% of line 25, column  |                              |   |  |                                       |
| y             | (A) amount, list line 11g expenses on Schedule 0.)   | 26,954.                      | 26,954.                                   |  |                                       |
| 12            | Advertising and promotion.   | 110,129.                     | 83,700.                                   | 14,030.  | 12,399                                |
| 13            | Office expenses  | 15,901.                      | 6,415.                                    | 8,946.   | 540                                   |
| 14            | Information technology   |                              |   |  |                                       |
| 15            | Royalties  |                              |   |  |                                       |
| 16            |  | 94,832.                      | 75,866.                                   | 9,483.   | 9,483                                 |
| 17            | Travel   | 64,075.                      | 60,893.                                   | 778.   | 2,404                                 |
| 18            | Payments of travel or entertainment<br>expenses for any federal, state, or local<br>public officials   |                              |   |  |                                       |
| 19            | Conferences, conventions, and meetings   |                              |   |  |                                       |
| 20            | Interest   |                              |   |  |                                       |
| 21            | Payments to affiliates   |                              |   |  |                                       |
| 22            | Depreciation, depletion, and amortization  | 4,244.                       | 4,244.                                    |  |                                       |
| 23            |  | 6,192.                       |   | 6,192.   |                                       |
| 24            | Other expenses. Itemize expenses not<br>covered above (List miscellaneous expenses<br>in line 24e. If line 24e amount exceeds 10%<br>of line 25, column (A) amount, list line 24e<br>expenses on Schedule O.).                 |                              |   |  |                                       |
| а             | AUTISM BRAIN NET   | 97,000.                      | 97,000.                                   |  |                                       |
|               | SPECIAL EVENTS EXPENSE   | 11,650.                      |   |  | 11,650                                |
|               | TELECOMMUNICATIONS   | 3,498.                       | 2,700.                                    | 443.   | 355                                   |
| d             | OTHER  | 2,355.                       | 152.                                      | 2,203.   |                                       |
| е             | All other expenses   | 2,597.                       | 1,094.                                    | 835.   | 668                                   |
| 25            | Total functional expenses. Add lines 1 through 24e   | 1,270,604.                   | 1,053,605.                                | 118,366.   | 98,633                                |
| 26            | Joint costs. Complete this line only if<br>the organization reported in column (B)<br>joint costs from a combined educational<br>campaign and fundraising solicitation.<br>Check here ► if following<br>SOP 98-2 (ASC 958-720) |                              |   |  |                                       |

# Form 990 (2016) AUTISM SCIENCE FOUNDATION Part X Balance Sheet

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| Fart A   |   |                                 |                |                           |
|--|---|---------------------------------|----------------|---------------------------|
|  | Check if Schedule O contains a response or note to any line in this Part X  |                                 |                |                           |
|  |   | <b>(A)</b><br>Beginning of year |                | <b>(B)</b><br>End of year |
| 1  | Cash – non-interest-bearing.  |                                 | 1              |                           |
| 2  | Savings and temporary cash investments.   | 401,795.                        | 2              | 311,465                   |
| 3  | Pledges and grants receivable, net  | 32,613.                         | 3              | 261,786                   |
| 4  | Accounts receivable, net  |                                 | 4              |                           |
| 5  | Loans and other receivables from current and former officers, directors,<br>trustees, key employees, and highest compensated employees. Complete<br>Part II of Schedule L.  |                                 | 5              |                           |
| 6  | Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L |                                 | 6              |                           |
| <u>v</u> 7   | Notes and loans receivable, net.  |                                 | 7              |                           |
| Assets<br>8 8<br>9   | Inventories for sale or use   |                                 | 8              |                           |
| <b>Š</b> 9   | Prepaid expenses and deferred charges   | 50,650.                         | 9              | 44,583                    |
| 10   | a Land, buildings, and equipment: cost or other basis.<br>Complete Part VI of Schedule D  |                                 |                |                           |
|  | b         Less: accumulated depreciation.         10b         28,700  |                                 | 10 c           |                           |
| 11   | Investments – publicly traded securities.   |                                 | 11             | 374,628                   |
| 12   | Investments – other securities. See Part IV, line 11  |                                 | 12             | 574,020                   |
| 13   | Investments – program-related. See Part IV, line 11   |                                 | 13             |                           |
| 14   | Intangible assets.  |                                 | 14             |                           |
| 15   | Other assets. See Part IV, line 11  |                                 | 15             | 36,679.                   |
| 16   | Total assets.       Add lines 1 through 15 (must equal line 34).  |                                 | 16             | 1,029,141                 |
| 17   | Accounts payable and accrued expenses   |                                 | 17             | 11,718                    |
| 18   | Grants payable  |                                 | 18             | 191,371                   |
| 19   | Deferred revenue  |                                 | 19             | 191,011                   |
| 20   | Tax-exempt bond liabilities   |                                 | 20             |                           |
| <b>%</b> 21  | Escrow or custodial account liability. Complete Part IV of Schedule D.  |                                 | 21             |                           |
| Liabilities  | Loans and other payables to current and former officers, directors, trustees,<br>key employees, highest compensated employees, and disqualified persons.<br>Complete Part II of Schedule L  |                                 | 22             |                           |
|  |   |                                 | 22             |                           |
| 23   | Secured mortgages and notes payable to unrelated third parties  |                                 | 23<br>24       |                           |
|  |   |                                 | 24             |                           |
| 25   | Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D.  |                                 | 25             |                           |
| 26   | Total liabilities. Add lines 17 through 25.   | 37,077.                         | 26             | 203,089.                  |
| es   | Organizations that follow SFAS 117 (ASC 958), check here ► X and complete lines 27 through 29, and lines 33 and 34.   |                                 |                |                           |
| u 27   | Unrestricted net assets   | 832,563.                        | 27             | 612,552.                  |
| 28   | Temporarily restricted net assets.  |                                 | 28             | 213,500.                  |
| <b>m</b> 29  |   |                                 | 29             | , _ 0 0 0                 |
| Net Assets or Fund Balances<br>65 88<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10<br>10 | Organizations that do not follow SFAS 117 (ASC 958), check here ►<br>and complete lines 30 through 34.  |                                 |                |                           |
| ວັ<br>ທີ່ 30   | Capital stock or trust principal, or current funds  |                                 | 30             |                           |
| 2 30<br>2 31   | Paid-in or capital surplus, or land, building, or equipment fund.   |                                 | 31             |                           |
|  | Retained earnings, endowment, accumulated income, or other funds  |                                 | 32             |                           |
| te 33  | Total net assets or fund balances   |                                 | 33             | 826,052.                  |
| ž 33   | Total liabilities and net assets/fund balances.   |                                 | 33             | 1,029,141.                |
| RΔΔ  |   | 009,040.                        | J <del>1</del> | <u> </u>                  |

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Form 990 (2016)

| Forr | n 990                | (2016)                   | AUTISI                               | ΜS             | CIE             | NCE               | FOUNI    | DATI                | ON           |                       |               |               |                 |                 |                  |                  |         |          | 26       | 5-45     | 22309 |      | Pa   | age <b>12</b> |
|------|----------------------|--------------------------|--------------------------------------|----------------|-----------------|-------------------|----------|---------------------|--------------|-----------------------|---------------|---------------|-----------------|-----------------|------------------|------------------|---------|----------|----------|----------|-------|------|------|---------------|
| Pa   | rt XI                | Reco                     | nciliatio                            | n o            | f Ne            | t As:             | sets     |                     |              |                       |               |               |                 |                 |                  |                  |         |          |          |          |       |      |      |               |
|      |                      |                          | if Schedu                            |                |                 |                   |          |                     |              | -                     | -             |               |                 |                 |                  |                  |         |          |          |          |       |      |      |               |
| 1    |                      |                          | e (must eo                           | •              |                 |                   | •        |                     |              | ·                     |               |               |                 |                 |                  |                  |         |          |          |          | 1     | 1,2  | 31,9 | 922.          |
| 2    | Tota                 | l expens                 | es (must e                           | equa           | l Par           | t IX, c           | olumn (  | (A), lin            | ne 25        | 5)                    |               |               |                 |                 |                  |                  |         |          |          | . 1      | 2     | 1,2  | 70,0 | 504.          |
| 3    |                      |                          | s expense                            |                |                 |                   |          |                     |              |                       |               |               |                 |                 |                  |                  |         |          |          |          | 3     | -    | 38,6 | 582.          |
| 4    | Net a                | assets o                 | r fund bala                          | ance           | s at ł          | beginn            | ing of y | /ear (n             | nust         | equal                 | Par           | τX,           | line 3          | 33, cc          | olum             | ın (A)           | ))      |          |          | . 4      | 4     | 8    | 52,5 | 563.          |
| 5    |                      |                          | ed gains (l                          |                |                 |                   |          |                     |              |                       |               |               |                 |                 |                  |                  |         |          |          |          | 5     |      | 12,1 | L71.          |
| 6    |                      |                          | vices and                            |                |                 |                   |          |                     |              |                       |               |               |                 |                 |                  |                  |         |          |          |          | 6     |      |      |               |
| 7    |                      |                          | xpenses .                            |                |                 |                   |          |                     |              |                       |               |               |                 |                 |                  |                  |         |          |          |          | 7     |      |      |               |
| 8    |                      |                          | adjustmen                            |                |                 |                   |          |                     |              |                       |               |               |                 |                 |                  |                  |         |          |          |          | 8     |      |      |               |
| 9    |                      | -                        | es in net a                          |                |                 |                   |          |                     |              |                       |               |               |                 |                 |                  |                  |         |          |          | . 9      | 9     |      |      | 0.            |
| 10   |                      |                          | fund balan                           |                |                 |                   |          |                     |              |                       |               |               |                 |                 |                  |                  |         |          |          | . 10     | •     | 0    |      |               |
| Da   |                      |                          | icial Sta                            |                |                 |                   |          |                     |              |                       |               |               |                 |                 |                  |                  |         |          |          | . 1      | U     | 8    | 26,0 | )52.          |
| ra   |                      |                          |                                      |                |                 |                   |          | -                   | -            |                       |               |               |                 |                 |                  |                  |         |          |          |          |       |      |      |               |
|      |                      | Check                    | if Schedu                            | le O           | cont            | ains a            | respon   | ise or              | note         | e to any              | y lin         | ie in         | n this          | Part )          | XII              |                  |         |          |          |          |       |      |      |               |
|      |                      |                          |                                      |                |                 |                   | _        |                     | _            |                       |               |               |                 |                 | _                | ٦٩٣              |         |          |          |          |       |      | Yes  | No            |
| 1    | Acco                 | ounting n                | nethod use                           | ed to          | , prep          | bare th           | he Form  | 1 990:              |              | Cash                  |               | Х             | Accru           | ial             |                  | Othe             | er _    |          |          |          |       |      |      |               |
|      |                      | e organiz<br>chedule (   | ation cha<br>C.                      | nged           | l its r         | nethod            | d of acc | ountin              | ng fro       | om a p                | orior         | yea           | ar or o         | checke          | ked '(           | Other            | r,' exp | lain     |          |          |       |      |      |               |
| 2    | a Were               | e the org                | anization'                           | s fin:         | ancia           | al state          | ements   | compi               | iled o       | or revie              | ewe           | d by          | y an ii         | ndepe           | ende             | ent ac           | coun    | tant? .  |          |          |       | 2a   |      | Х             |
|      |                      | arate bas                | k a box be<br>is, consol<br>te basis | idat <u>e</u>  | ed ba           | sis, or           |          |                     | _            | ncial st<br>Both c    |               |               |                 | -               | -                |                  |         | piled o  | or revie | wed o    | on a  |      |      |               |
| l    | <b>b</b> Were        | e the org                | anization'                           | s fina         | ancia           | al state          | ements a | audite              | ed by        | an ind                | depe          | ende          | ent ac          | coun            | ntant            | ?                |         |          |          |          |       | 2 b  | Х    |               |
|      | lf 'Ye<br>basis<br>X | s, conso                 | k a box be<br>idated bas<br>te basis | sis, <u>c</u>  | or bot          | th:               | whethe   |                     |              | ncial st<br>Both o    |               |               |                 |                 |                  |                  |         | ted on   | a sepa   | arate    |       |      |      |               |
|      | c If 'Ye<br>revie    | es' to line<br>ew, or co | 2a or 2b,<br>mpilation               | does<br>of it: | the o<br>s fina | organiz<br>ancial | ation ha | ive a co<br>ents ar | omm<br>nd se | nittee th<br>election | nat a<br>n of | assur<br>an i | mes re<br>indep | espon:<br>endei | nsibili<br>ent a | ity for<br>ccour | overs   | sight of | the aud  | dit,<br> |       | 2 c  | Х    |               |
| _    | in So                | chedule                  |                                      | 0              |                 |                   |          | ·                   |              |                       |               | •             |                 |                 | 0                |                  | 2       |          |          |          |       |      |      |               |
|      | Audi                 | t Act and                | a federal a<br>d OMB Cir             | cula           | r A-1           | 33?               |          |                     | · · · ·      |                       |               |               |                 |                 |                  |                  |         |          |          |          |       | 3a   |      | Х             |
|      |                      |                          | e organiza<br>olain why              |                |                 |                   |          |                     |              |                       |               |               |                 |                 |                  |                  |         |          |          |          |       | 3 b  |      |               |
| BAA  | \                    |                          |                                      |                |                 |                   |          |                     |              |                       |               |               |                 |                 |                  |                  |         |          |          |          |       | Form | 990  | (2016)        |

| Form  | 8868 |  |
|-------|------|--|
| 01111 | ~~~~ |  |

(Rev. January 2017) Department of the Treasury Internal Revenue Service

#### Application for Automatic Extension of Time To File an **Exempt Organization Return**

File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

Electronic filing (e-file). You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile, click on Charities & Non-Profits, and click on e-file for Charities and Non-Profits.

#### Automatic 6-Month Extension of Time. Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

| -   |  | Enter filer's identifying number, see instructions |
|---|--|--|
|   | Name of exempt organization or other filer, see instructions.  | Employer identification number (EIN) or            |
| Type or<br>print                            | AUTISM SCIENCE FOUNDATION  | 26-4522309   |
| File by the<br>due date for                 | Number, street, and room or suite number. If a P.O. box, see instructions.<br>106 WEST 32ND STREET #182        | Social security number (SSN)                       |
| filing your<br>return. See<br>instructions. | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br>NEW YORK, NY 10001 | I  |

| Application<br>Is For                                | Return<br>Code | Application<br>Is For             | Return<br>Code |
|--|----------------|-----------------------------------|----------------|
| Form 990 or Form 990-EZ                              | 01             | Form 990-T (corporation)          | 07             |
| Form 990-BL  | 02             | Form 1041-A                       | 08             |
| Form 4720 (individual)                               | 03             | Form 4720 (other than individual) | 09             |
| Form 990-PF  | 04             | Form 5227                         | 10             |
| Form 990-T (section 401(a) or 408(a) trust)          | 05             | Form 6069                         | 11             |
| Form 990-T (trust other than above)                  | 06             | Form 8870                         | 12             |
| ● The books are in the care of ► <u>ALISON SINGE</u> | <u>R</u>       |                                   |                |

Telephone No. ► 914-552-1580

Fax No. >

| • | If the organization does not have an office or place of business in the United States, check this box                      |
|---|--|
| ٠ | If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN)                            |
|   | check this box ► If it is for part of the group, check this box ► and attach a list with the names and EINs of all members |
|   | the extension is for.  |

| 1 | I request an automatic 6-month extension of time until    | 11/15            | ,2017         | , to file the exempt | organization return |
|---|---|------------------|---------------|----------------------|---------------------|
|   | for the organization named above. The extension is for th | e organization's | s return for: | -                    | 5                   |

| Х | calendar | year | 20 | 16 | or |
|---|----------|------|----|----|----|
|   |          |      |    |    |    |

|   | •      | tax year beginning        |                   | ., 20  | , and ending        | ,                  | 20  |
|---|--------|---------------------------|-------------------|--------|---------------------|--------------------|-----|
| 2 | If the | e tax year entered in lin | e 1 is for less t | han 12 | months, check reaso | on: 🗍 Initial retu | ırn |

|                             | e andri 12 montais, check reason. | Innuarieum   |
|-----------------------------|-----------------------------------|--|
| Change in accounting period | ,<br>,                            | transmitting in the second sec |
|                             |                                   |  |

| 3 a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions   | 3a  | \$ | 0. |
|--|-----|----|----|
| <b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit | 3 b | \$ | 0. |
| <b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions              | 3 c | Ś  | 0  |

Caution: If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form 8868 (Rev. 1-2017)

Final return

OMB No. 1545-1709

| SCHEDU    | JLE A      |
|-----------|------------|
| (Form 990 | or 990-EZ) |

Department of the Treasury

## **Public Charity Status and Public Support**

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ.

► Information about Schedule A (Form 990 or 990-EZ) and its instructions is

OMB No. 1545-0047

| 0    |     | Dublia |
|------|-----|--------|
| Open | το  | Public |
| Ins  | peo | ction  |

| Interna    | I Revenue Service   |  |  | at www.irs.gov/form99  | 0.                           |   |  |                        | Inspection                                      |  |  |
|------------|---|--|--|--|------------------------------|---|--|------------------------|---|--|--|
|            | of the organization   |  |  |  |                              |   | Employer identification number                   |                        |   |  |  |
|            |   | CE FOUNDATION 26-4522309   |  |  |                              |   |  |                        |   |  |  |
|            | rt I Reason for Public Charity Status (All organizations must complete this part.) See instructions.<br>organization is not a private foundation because it is: (For lines 1 through 12, check only one box.) |  |  |  |                              |   |  |                        |   |  |  |
| 1          | <u> </u>  | •  |  | hurches described in sect  |                              | -   | ,  |                        |   |  |  |
| 2          |   |  |  | Schedule E (Form 990 or  |                              |   |  |                        |   |  |  |
| 3          |   |  |  | ization described in sec   |                              |   | A)(iii).   |                        |   |  |  |
| 4          |   | •  |  | unction with a hospital of   |                              |   |  | ). Enter t             | he hospital's                                   |  |  |
|            | name, city, a   | -  | , ,  |  |                              |   |  |                        |   |  |  |
| 5          | An organizat<br>section 170(  | ization operated for the benefit of a college or university owned or operated by a governmental unit described in<br><b>70(b)(1)(A)(iv).</b> (Complete Part II.) |  |  |                              |   |  |                        |   |  |  |
| 6          | A federal, sta  | ate, or local gov  | ernment or governme  | ental unit described in <b>s</b>   | ection 1                     | 1 <b>70(b)(</b> 1)                          | )(A)(v).   |                        |   |  |  |
| 7          | X An organization in section 17   | on that normally i<br><b>'0(b)(1)(A)(vi).</b> (  | receives a substantial p<br>Complete Part II.)                       | part of its support from a   | governm                      | iental un                                   | it or from the general                           | public de              | escribed  |  |  |
| 8          | A community   | trust described  | in section 170(b)(1)(  | A)(vi). (Complete Part I   | l.)                          |   |  |                        |   |  |  |
| 9          | -   | -  |  | ction 170(b)(1)(A)(ix) operative (see instructions). Enter                                     |                              |   | -  | -                      |   |  |  |
| 10         | from activitie  | s related to its encome and unre   | exempt functions—sul   | 33-1/3% of its support fr<br>oject to certain exceptic<br>e income (less section<br>Part III.) | ons, and                     | (2) no                                      | more than 33-1/3%                                | of its sup             | oport from gross                                |  |  |
| 11         | An organizat  | ion organized a  | nd operated exclusive  | ely to test for public safe  | ety. See                     | section                                     | n 509(a)(4).                                     |                        |   |  |  |
| 12         | or more publ  | icly supported o   | rganizations describe  | ely for the benefit of, to<br>ad in <b>section 509(a)(1)</b> of<br>upporting organization      | or sectio                    | on 509(a                                    | )(2). See section 50                             | <b>9(a)(3).</b> (      | purposes of one<br>Check the box in             |  |  |
| а          | Type I. A support   | porting organizati   | on operated, supervise<br>gularly appoint or elect                   | d, or controlled by its sup<br>t a majority of the director                                    | ported o                     | organizat                                   | ion(s), typically by gi                          | vina the s             | upported<br><b>Ju must</b>                      |  |  |
| b          | Type II. A su   | pporting organiz   | zation supervised or c<br>organization vested in                     | controlled in connection the same persons that c   | with its<br>ontrol or        | support<br>manage                           | ted organization(s),<br>the supported organ      | by havin<br>ization(s) | g control or<br>. <b>You</b>                    |  |  |
| С          | Type III functi   | onally integrated  | . A supporting organizat   | tion operated in connection<br>plete Part IV, Sections   | n with, a<br><b>A, D, an</b> | nd functi<br>d E.                           | onally integrated with,                          | its suppo              | rted  |  |  |
| d          | <b>Type III non-f</b><br>functionally i<br>instructions).   | unctionally integ<br>ntegrated. The o<br>You must com  | rated. A supporting orgonganization generally plete Part IV. Section | panization operated in cor<br>must satisfy a distribu<br>maile <b>A and D, and Part V.</b>     | nnection<br>tion req         | with its s<br>uiremen                       | supported organization<br>It and an attentivene  | n(s) that<br>ess requi | is not<br>rement (see                           |  |  |
| e          | Check this be<br>integrated, o  | ox if the organiz<br>r Type III non-fu   | ation received a writt<br>inctionally integrated                     | en determination from t<br>supporting organizatior   | the IRS<br>1.                | that it is                                  | s a Type I, Type II, <sup>-</sup>                | Type III fu            |   |  |  |
|            |   |  | -  |  |                              |   |  |                        |   |  |  |
| -          |   |  |  | d organization(s).   |                              |   |  | <u> </u>               |   |  |  |
|            | (i) Name of supported   | organization   | <b>(ii)</b> EIN  | (iii) Type of organization<br>(described on lines 1-10<br>above (see instructions))            | organiza<br>in your o        | ls the<br>tion listed<br>joverning<br>ment? | (v) Amount of moneta<br>support (see instruction |                        | vi) Amount of other<br>oport (see instructions) |  |  |
|            |   |  |  |  | Yes                          | No  |  |                        |   |  |  |
| (A)        |   |  |  |  |                              |   |  |                        |   |  |  |
|            |   |  |  |  |                              |   |  |                        |   |  |  |
| <u>(B)</u> |   |  |  |  |                              |   |  | <u> </u>               |   |  |  |
| (C)        |   |  |  |  |                              |   |  |                        |   |  |  |
| <u>(D)</u> |   |  |  |  |                              |   |  |                        |   |  |  |
| (E)        |   |  |  |  |                              |   |  |                        |   |  |  |
| Total      |   |  |  |  |                              |   |  |                        |   |  |  |

#### Schedule A (Form 990 or 990-EZ) 2016 AUTISM SCIENCE FOUNDATION

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi) (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

#### Section A. Public Support

| Cale<br>begi | ndar year (or fiscal year<br>nning in) ►  | <b>(a)</b> 2012                          | <b>(b)</b> 2013                          | <b>(c)</b> 2014                             | <b>(d)</b> 2015                              | (d) 2015 (e) 2016 (f                    |                     |  |  |
|--------------|---|--|--|---|--|---|---------------------|--|--|
| 1            | Gifts, grants, contributions, and<br>membership fees received. (Do not<br>include any 'unusual grants.')  | 1,257,737.                               | 545,253.                                 | 859,949.                                    | 919,033.                                     | 1,114,373.                              | 4,696,345.          |  |  |
| 2            | Tax revenues levied for the organization's benefit and either paid to or expended on its behalf   |  |  |   |  |   | 0.                  |  |  |
| 3            | The value of services or facilities furnished by a governmental unit to the organization without charge   |  |  |   |  |   | 0.                  |  |  |
| 4            | Total. Add lines 1 through 3  | 1,257,737.                               | 545,253.                                 | 859,949.                                    | 919,033.                                     | 1,114,373.                              | 4,696,345.          |  |  |
| 5            | The portion of total<br>contributions by each person<br>(other than a governmental<br>unit or publicly supported<br>organization) included on line 1<br>that exceeds 2% of the amount<br>shown on line 11, column (f)               |  |  |   |  |   | 1,107,745.          |  |  |
| 6            | Public support. Subtract line 5 from line 4   |  |  |   |  |   | 3,588,600.          |  |  |
| Sec          | tion B. Total Support   |  |  |   |  |   |                     |  |  |
|              | ndar year (or fiscal year<br>nning in) ►  | <b>(a)</b> 2012                          | <b>(b)</b> 2013                          | <b>(c)</b> 2014                             | <b>(d)</b> 2015                              | <b>(e)</b> 2016                         | <b>(f)</b> Total    |  |  |
| 7            | Amounts from line 4   | 1,257,737.                               | 545,253.                                 | 859,949.                                    | 919,033.                                     | 1,114,373.                              | 4,696,345.          |  |  |
| 8            | Gross income from interest,<br>dividends, payments received<br>on securities loans, rents,<br>royalties and income from<br>similar sources  | 4,526.                                   | 109.                                     | 9,847.                                      | 12,166.                                      | 9,249.                                  | 35,897.             |  |  |
| 9            | Net income from unrelated<br>business activities, whether or<br>not the business is regularly<br>carried on   | ,  |  | ,   |  |   | 0.                  |  |  |
| 10           | Other income. Do not include<br>gain or loss from the sale of<br>capital assets (Explain in<br>Part VI.) SEE FART VI  |  |  |   |  | 1,729.                                  | 1,729.              |  |  |
|              | Total support. Add lines 7 through 10   |  |  |   |  |   | 4,733,971.          |  |  |
| 12           | Gross receipts from related activ   | vities, etc. (see ins                    | structions)                              |   |  | 12                                      | 0.                  |  |  |
| 13           | First five years. If the Form 990 is organization, check this box and   | for the organizatior                     | h's first, second, thi                   | rd, fourth, or fifth t                      | ax year as a sectio                          | on 501(c)(3)                            | ►                   |  |  |
| Sec          | tion C. Computation of Pu   | blic Support P                           | ercentage                                |   |  |   |                     |  |  |
|              | Public support percentage for 20  |  |  |   |  |   | 75.81%              |  |  |
| 15           | Public support percentage from  | 2015 Schedule A,                         | Part II, line 14                         |   |  |   | 74.60 %             |  |  |
| 16a          | <b>33-1/3% support test-2016.</b> If t and <b>stop here.</b> The organization   | he organization di<br>qualifies as a put | d not check the b<br>blicly supported or | ox on line 13, and<br>rganization           | d line 14 is 33-1/3                          | 3% or more, check                       | this box     ► X    |  |  |
| b            | <b>b 33-1/3% support test–2015.</b> If the organization did not check a box on line 13 or 16a, and line 15 is 33-1/3% or more, check this box and <b>stop here.</b> The organization qualifies as a publicly supported organization |  |  |   |  |   |                     |  |  |
| 17a          | <b>10%-facts-and-circumstances te</b><br>or more, and if the organization<br>the organization meets the 'facts  | meets the 'facts-a                       | and-circumstances                        | s' test, check this                         | box and stop her                             | re. Explain in Part                     | VI how              |  |  |
|              | <b>10%-facts-and-circumstances te</b><br>or more, and if the organization<br>organization meets the 'facts-an   | meets the 'facts-a<br>d-circumstances'   | and-circumstances<br>test. The organiza  | s' test, check this<br>ition qualifies as a | box and <b>stop he</b><br>a publicly support | re. Explain in Part<br>ed organization. | t VI how the        |  |  |
| 18           | Private foundation. If the organi   | zation did not che                       | ck a box on line 1                       | 3, 16a, 16b, 17a,                           | or 1/b, check th                             | is box and see ins                      | structions <b>P</b> |  |  |
| BAA          |   |  |  |   | Sc   | hedule A (Form 99                       | 90 or 990-EZ) 2016  |  |  |

Schedule A (Form 990 or 990-EZ) 2016

26-4522309

26-4522309

Page 3

#### Part III

Support Schedule for Organizations Described in Section 509(a)(2) (Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

| Sec    | tion A. Public Support   |                    |                          |                        |                           |                    |           |
|--------|--|--------------------|--------------------------|------------------------|---------------------------|--------------------|-----------|
| Calenc | lar year (or fiscal year beginning in) ►                       | (a) 2012           | (b) 2013                 | (c) 2014               | (d) 2015                  | (e) 2016           | (f) Total |
| 1      | Gifts, grants, contributions, and membership fees              |                    |                          |                        |                           |                    |           |
|        | received. (Do not include                                      |                    |                          |                        |                           |                    |           |
|        | any 'unusual grants.')   |                    |                          |                        |                           |                    |           |
| 2      | Gross receipts from admissions,                                |                    |                          |                        |                           |                    |           |
|        | merchandise sold or services performed, or facilities          |                    |                          |                        |                           |                    |           |
|        | furnished in any activity that is                              |                    |                          |                        |                           |                    |           |
|        | related to the organization's                                  |                    |                          |                        |                           |                    |           |
| -      | tax-exempt purpose   |                    |                          |                        |                           |                    |           |
| 3      | Gross receipts from activities that are not an unrelated trade |                    |                          |                        |                           |                    |           |
|        | or business under section 513.                                 |                    |                          |                        |                           |                    |           |
| 4      | Tax revenues levied for the                                    |                    |                          |                        |                           |                    |           |
|        | organization's benefit and                                     |                    |                          |                        |                           |                    |           |
|        | either paid to or expended on its behalf                       |                    |                          |                        |                           |                    |           |
| 5      | The value of services or                                       |                    |                          |                        |                           |                    |           |
| Ũ      | facilities furnished by a                                      |                    |                          |                        |                           |                    |           |
|        | governmental unit to the                                       |                    |                          |                        |                           |                    |           |
| ~      | organization without charge                                    |                    |                          |                        |                           |                    |           |
|        | <b>Total.</b> Add lines 1 through 5                            |                    |                          |                        |                           |                    |           |
| /a     | Amounts included on lines 1, 2, and 3 received from            |                    |                          |                        |                           |                    |           |
|        | disqualified persons.  |                    |                          |                        |                           |                    |           |
| b      | Amounts included on lines 2                                    |                    |                          |                        |                           |                    |           |
| -      | and 3 received from other than                                 |                    |                          |                        |                           |                    |           |
|        | disqualified persons that<br>exceed the greater of \$5,000 or  |                    |                          |                        |                           |                    |           |
|        | 1% of the amount on line 13                                    |                    |                          |                        |                           |                    |           |
|        | for the year.  |                    |                          |                        |                           |                    |           |
| с      | Add lines 7a and 7b  |                    |                          |                        |                           |                    |           |
| 8      | Public support. (Subtract line                                 |                    |                          |                        |                           |                    |           |
|        | 7c from line 6.).  |                    |                          |                        |                           |                    |           |
| Sec    | tion B. Total Support  |                    |                          |                        |                           |                    |           |
| Calen  | dar year (or fiscal year beginning in) 🕨                       | (a) 2012           | <b>(b)</b> 2013          | (c) 2014               | (d) 2015                  | (e) 2016           | (f) Total |
| 9      | Amounts from line 6  |                    |                          |                        |                           |                    |           |
| 10a    | Gross income from interest, dividends,                         |                    |                          |                        |                           |                    |           |
|        | payments received on securities loans,                         |                    |                          |                        |                           |                    |           |
|        | rents, royalties and income from similar sources               |                    |                          |                        |                           |                    |           |
| b      | Unrelated business taxable                                     |                    |                          |                        |                           |                    |           |
| -      | income (less section 511                                       |                    |                          |                        |                           |                    |           |
|        | taxes) from businesses   |                    |                          |                        |                           |                    |           |
|        | Add lines 10a and 10b  |                    |                          |                        |                           |                    |           |
|        | Net income from unrelated business                             |                    |                          |                        |                           |                    |           |
|        | activities not included in line 10b,                           |                    |                          |                        |                           |                    |           |
|        | whether or not the business is                                 |                    |                          |                        |                           |                    |           |
| 40     | regularly carried on   |                    |                          |                        |                           |                    |           |
| 12     | Other income. Do not include gain or loss from the sale of     |                    |                          |                        |                           |                    |           |
|        | capital assets (Explain in                                     |                    |                          |                        |                           |                    |           |
|        | Part VI.)  | ļ                  |                          |                        |                           |                    |           |
| 13     | <b>Total support.</b> (Add lines 9, 10c, 11, and 12.)          |                    |                          |                        |                           |                    |           |
| 1/     | First five years. If the Form 990                              | is for the organiz | l<br>ation's first secon | l<br>nd third fourth o | l<br>or fifth tay year ac | a section 501(c)(3 | 3) —      |
| 14     | organization, check this box and                               | stop here          |                          |                        |                           |                    | ,▶        |
| Sec    | tion C. Computation of Pu                                      |                    |                          |                        |                           |                    |           |
| 15     | Public support percentage for 20                               | 016 (line 8, colum | n (f) divided by lir     | ne 13, column (f))     | )                         | 15                 | 00        |
| 16     | Public support percentage from                                 | 2015 Schedule A    | Part III, line 15.       |                        |                           |                    | olo       |
| -      | tion D. Computation of Inv                                     |                    |                          |                        |                           |                    | -         |
| 17     | Investment income percentage f                                 |                    |                          |                        | umn (f))                  |                    | 0/0       |
| 18     | Investment income percentage f                                 | -                  |                          | -                      |                           |                    | 00        |
|        |  |                    |                          |                        |                           |                    |           |
| 198    | 33-1/3% support tests-2016. If is not more than 33-1/3%, check |                    |                          |                        |                           |                    |           |
| h      | <b>33-1/3% support tests</b> – <b>2015.</b> If                 |                    | • •                      |                        |                           | -                  |           |
| 5      | line 18 is not more than 33-1/3%                               |                    |                          |                        |                           |                    |           |
| 20     | Private foundation. If the organi                              |                    | •                        |                        | •                         |                    |           |
|        | · · · · 9-····   |                    | -                        |                        |                           |                    | 00        |

#### Part IV Supporting Organizations

(Complete only if you checked a box in line 12 on Part I. If you checked 12a of Part I, complete Sections À and B. If you checked 12b of Part I, complete Sections A and C. If you checked 12c of Part I, complete Sections A, D, and E. If you checked 12d of Part I, complete Sections A and D, and complete Part V.)

#### Section A. All Supporting Organizations

No Yes Are all of the organization's supported organizations listed by name in the organization's governing documents? 1 If 'No,' describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain. 1 2 Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If 'Yes,' explain in Part VI how the organization determined that the supported organization was 2 described in section 509(a)(1) or (2). 3a Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If 'Yes,' answer (b) and (c) below. 3a **b** Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If 'Yes,' describe in **Part VI** when and how the organization made the determination. 3b c Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If 'Yes,' explain in **Part VI** what controls the organization put in place to ensure such use. 3c 4a Was any supported organization not organized in the United States ('foreign supported organization')? If 'Yes' and if you checked 12a or 12b in Part I, answer (b) and (c) below. 4a **b** Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If 'Yes,' describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations. Δh **c** Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If 'Yes,' explain in **Part VI** what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes. 4c 5a Did the organization add, substitute, or remove any supported organizations during the tax year? If 'Yes,' answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the authority under the organization's organizing document authorizing such action; and (iv) how the action was accomplished (such as by 5a amendment to the organizing document). b Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization's organizing document? 5b c Substitutions only. Was the substitution the result of an event beyond the organization's control? 5c Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one 6 or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of 6 the filing organization's supported organizations? If 'Yes,' provide detail in Part VI. 7 Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(Č)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If 'Yes,' complete Part I of Schedule L (Form 990 or 990-EZ). 7 Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If 'Yes,' 8 complete Part I of Schedule L (Form 990 or 990-EZ). 8 9a Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If 'Yes,' provide detail in Part VI. 9a **b** Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? *If 'Yes,' provide detail in Part VI.* 9b c Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? *If 'Yes,' provide detail in Part VI*. 9c 10a Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If 'Yes,' answer 10b below. 10a **b** Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.) 10b

|  |     | Yes | No |
|--|-----|-----|----|
| 11 Has the organization accepted a gift or contribution from any of the following persons?                                       |     |     |    |
| <b>a</b> A person who directly or indirectly controls, either alone or together with persons described in (b) and (c) below, the |     |     |    |
| governing body of a supported organization?  | 11a |     | L  |
| <b>b</b> A family member of a person described in (a) above?   | 11b |     |    |
| c A 35% controlled entity of a person described in (a) or (b) above? If 'Yes' to a, b, or c, provide detail in Part VI.          | 11c |     |    |

#### ction B. Type I Supporting Organizations

- Did the directors, trustees, or membership of one or more supported organizations have the power to regularly appoint 1 or elect at least a majority of the organization's directors or trustees at all times during the tax year? If No, describe in **Part VI** how the supported organization(s) effectively operated, supervised, or controlled the organization's activities. If the organization had more than one supported organization, describe how the powers to appoint and/or remove directors or trustees were allocated among the supported organizations and what conditions or restrictions, if any, applied to such powers during the tax year.
- 2 Did the organization operate for the benefit of any supported organization other than the supported organization(s) that operated, supervised, or controlled the supporting organization? If 'Yes,' explain in Part VI how providing such benefit carried out the purposes of the supported organization(s) that operated, supervised, or controlled the supporting organization.

#### Section C. Type II Supporting Organizations

|   |  |   | Yes | No |
|---|--|---|-----|----|
| 1 | Were a majority of the organization's directors or trustees during the tax year also a majority of the directors or trustees of each of the organization's supported organization(s)? If 'No,' describe in <b>Part VI</b> how control or management of the |   |     |    |
|   | supporting organization was vested in the same persons that controlled or managed the supported organization(s).   | I |     |    |

#### Section D. All Type III Supporting Organizations

|   |   |   | Yes | No |
|---|---|---|-----|----|
| 1 | Did the organization provide to each of its supported organizations, by the last day of the fifth month of the organization's tax year, (i) a written notice describing the type and amount of support provided during the prior tax year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the         |   |     |    |
|   | organization's governing documents in effect on the date of notification, to the extent not previously provided?  | 1 |     |    |
| 2 | Were any of the organization's officers, directors, or trustees either (i) appointed or elected by the supported organization(s) or (ii) serving on the governing body of a supported organization? If 'No,' explain in <b>Part VI</b> how the organization maintained a close and continuous working relationship with the supported organization(s).              |   |     |    |
|   | the organization maintained a close and continuous working relationship with the supported organization(s).   | 2 |     |    |
| 3 | By reason of the relationship described in (2), did the organization's supported organizations have a significant voice in the organization's investment policies and in directing the use of the organization's income or assets at all times during the tax year? If 'Yes,' describe in <b>Part VI</b> the role the organization's supported organizations played |   |     |    |
|   | in this regard.   | 3 |     |    |

#### Section E. Type III Functionally Integrated Supporting Organizations

- 1 Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).
  - The organization satisfied the Activities Test. Complete line 2 below. а
  - The organization is the parent of each of its supported organizations. Complete line 3 below. h
  - The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions). С

#### 2 Activities Test. Answer (a) and (b) below.

- a Did substantially all of the organization's activities during the tax year directly further the exempt purposes of the supported organization(s) to which the organization was responsive? If 'Yes,' then in Part VI identify those supported organizations and explain how these activities directly furthered their exempt purposes, how the organization was responsive to those supported organizations, and how the organization determined that these activities constituted substantially all of its activities.
- **b** Did the activities described in (a) constitute activities that, but for the organization's involvement, one or more of the organization's supported organization(s) would have been engaged in? If 'Yes,' explain in Part VI the reasons for the organization's position that its supported organization(s) would have engaged in these activities but for the organization's involvement.
- 3 Parent of Supported Organizations. Answer (a) and (b) below.
- a Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or trustees of each of the supported organizations? Provide details in Part VI.
- **b** Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each of its supported organizations? If 'Yes,' describe in **Part VI** the role played by the organization in this regard.

Yes

2a

2b

3a

3h

No

Yes

1

2

No

26-4522309

#### Schedule A (Form 990 or 990-EZ) 2016 AUTISM SCIENCE FOUNDATION Part V Type II

production of income (see instructions)

Other expenses (see instructions)

7

Page 6

| Pa  | rt V Type III Non-Functionally Integrated 509(a)(3) Supporting Org  | ganiza              | tions   |                                      |
|-----|---|---------------------|---|--------------------------------------|
| 1   | Check here if the organization satisfied the Integral Part Test as a qualifying truis instructions. All other Type III non-functionally integrated supporting organizat | ust on N<br>ions mu | lov. 20, 1970 (explain ir<br>st complete Sections A | ı Part VI). <b>See</b><br>through E. |
| Sec | ction A – Adjusted Net Income   |                     | (A) Prior Year                                      | (B) Current Year<br>(optional)       |
| 1   | Net short-term capital gain   | 1                   |   |                                      |
| 2   | Recoveries of prior-year distributions  | 2                   |   |                                      |
| 3   | Other gross income (see instructions)   | 3                   |   |                                      |
| 4   | Add lines 1 through 3.  | 4                   |   |                                      |
| 5   | Depreciation and depletion  | 5                   |   |                                      |
| 6   | Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for        |                     |   |                                      |

6

7

| 8   | Adjusted Net Income (subtract lines 5, 6, and 7 from line 4).   | 8  |                |                                |  |  |  |
|-----|---|----|----------------|--------------------------------|--|--|--|
| Sec | tion B – Minimum Asset Amount   |    | (A) Prior Year | (B) Current Year<br>(optional) |  |  |  |
| 1   | Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year): |    |                |                                |  |  |  |
| á   | Average monthly value of securities   | 1a |                |                                |  |  |  |
| I   | Average monthly cash balances   | 1b |                |                                |  |  |  |
|     | Fair market value of other non-exempt-use assets  | 1c |                |                                |  |  |  |
| (   | <b>J Total</b> (add lines 1a, 1b, and 1c)   | 1d |                |                                |  |  |  |
| (   | e Discount claimed for blockage or other factors (explain in detail in Part VI):  |    |                |                                |  |  |  |
| 2   | Acquisition indebtedness applicable to non-exempt-use assets  | 2  |                |                                |  |  |  |
| 3   | Subtract line 2 from line 1d.   | 3  |                |                                |  |  |  |
| 4   | Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions).                                 | 4  |                |                                |  |  |  |
| 5   | Net value of non-exempt-use assets (subtract line 4 from line 3)  | 5  |                |                                |  |  |  |
| 6   | Multiply line 5 by .035.  | 6  |                |                                |  |  |  |
| 7   | Recoveries of prior-year distributions  | 7  |                |                                |  |  |  |
| 8   | Minimum Asset Amount (add line 7 to line 6)   | 8  |                |                                |  |  |  |
| Sec | tion C – Distributable Amount   |    |                | Current Year                   |  |  |  |
| 1   | Adjusted net income for prior year (from Section A, line 8, Column A)   | 1  |                |                                |  |  |  |
| 2   | Enter 85% of line 1.  | 2  |                |                                |  |  |  |
| 3   | Minimum asset amount for prior year (from Section B, line 8, Column A)  | 3  |                |                                |  |  |  |
| 4   | Enter greater of line 2 or line 3.  | 4  |                |                                |  |  |  |
| 5   | Income tax imposed in prior year  | 5  |                |                                |  |  |  |
| 6   | <b>Distributable Amount.</b> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).   | 6  |                |                                |  |  |  |
|     |   |    |                |                                |  |  |  |

7 Check here if the current year is the organization's first as a non-functionally integrated Type III supporting organization (see instructions).

Schedule A (Form 990 or 990-EZ) 2016

| Part V        | Type III Non-Functionally Integrated 509(a)(3) Su   | pporting Organiza              | tions (continued)                      |   |
|---------------|---|--------------------------------|--|---|
| Section       | n D – Distributions   |                                |  | Current Year                              |
| 1 Am          | nounts paid to supported organizations to accomplish exempt pu  | rposes                         |  |   |
|               | nounts paid to perform activity that directly furthers exempt purposes of excess of income from activity  | of supported organization      | s,                                     |   |
| <b>3</b> Ad   | ministrative expenses paid to accomplish exempt purposes of su  | pported organizations          |  |   |
|               | nounts paid to acquire exempt-use assets  |                                |  |   |
| <b>5</b> Qu   | alified set-aside amounts (prior IRS approval required)   |                                |  |   |
|               | her distributions (describe in <b>Part VI</b> ). See instructions.  |                                |  |   |
| 7 To          | tal annual distributions. Add lines 1 through 6.  |                                |  |   |
|               | tributions to attentive supported organizations to which the organization <b>Part VI</b> ). See instructions.   | on is responsive (provide      | details                                |   |
| 9 Dis         | stributable amount for 2016 from Section C, line 6  |                                |  |   |
| <b>10</b> Lin | ne 8 amount divided by Line 9 amount  |                                |  |   |
| Sectior       | n E – Distribution Allocations (see instructions)   | (i)<br>Excess<br>Distributions | (ii)<br>Underdistributions<br>Pre-2016 | (iii)<br>Distributable<br>Amount for 2016 |
| 1 Dis         | stributable amount for 2016 from Section C, line 6  |                                |  |   |
| 2 Un<br>cau   | derdistributions, if any, for years prior to 2016 (reasonable use required – explain in Part VI). See instructions.   |                                |  |   |
| 3 Exe         | cess distributions carryover, if any, to 2016:  |                                |  |   |
| а             |   |                                |  |   |
| b             |   |                                |  |   |
| c Fro         | om 2013   |                                |  |   |
|               | om 2014   |                                |  |   |
| e Fro         | om 2015   |                                |  |   |
| f To          | tal of lines 3a through e   |                                |  |   |
| <b>g</b> Ap   | plied to underdistributions of prior years  |                                |  |   |
| <b>h</b> Ap   | plied to 2016 distributable amount  |                                |  |   |
| i Ca          | rryover from 2011 not applied (see instructions)  |                                |  |   |
| j Re          | mainder. Subtract lines 3g, 3h, and 3i from 3f.   |                                |  |   |
|               | stributions for 2016 from Section D,<br>e 7: \$   |                                |  |   |
|               | plied to underdistributions of prior years  |                                |  |   |
|               | plied to 2016 distributable amount  |                                |  |   |
|               | mainder. Subtract lines 4a and 4b from 4.   |                                |  |   |
| Su            | maining underdistributions for years prior to 2016, if any.<br>btract lines 3g and 4a from line 2. For result greater than<br>ro, explain in Part VI. See instructions. |                                |  |   |
| fro           | maining underdistributions for 2016. Subtract lines 3h and 4b<br>m line 1. For result greater than zero, explain in Part VI. See<br>structions.                         |                                |  |   |
| 7 Ex          | cess distributions carryover to 2017. Add lines 3j and 4c.  |                                |  |   |
| <b>8</b> Bre  | eakdown of line 7:  |                                |  |   |
| а             |   |                                |  |   |
| <b>b</b> Exe  | cess from 2013  |                                |  |   |
| c Exe         | cess from 2014  |                                |  |   |
| d Exe         | cess from 2015  |                                |  |   |
| e Exe         | cess from 2016  |                                |  |   |

BAA

Schedule A (Form 990 or 990-EZ) 2016

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 Supplemental Information.
 Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)

 Part VI

#### PART II, LINE 10 - OTHER INCOME

| NATURE AND SOURCE |       |          | 2016                    | <br>2015 | <br>2014 | <br>2013 | <br>2012 |
|-------------------|-------|----------|-------------------------|----------|----------|----------|----------|
| OTHER INCOME      | TOTAL | \$<br>\$ | <u>1,729.</u><br>1,729. | \$<br>0. | \$<br>0. | \$<br>0. | \$<br>0. |

Department of the Treasury Internal Revenue Service

#### PUBLIC DISCLOSURE COPY

#### Schedule of Contributors

OMB No. 1545-0047

2016

| Attach to Form 990, Form 990-EZ, or Form 990-PF. |
|--|
|--|

Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

| Name of the organization       |   | Employer identification number |
|--------------------------------|---|--------------------------------|
| AUTISM SCIENCE FOUNDATION      |   | 26-4522309                     |
| Organization type (check one): |   |                                |
| Filers of:                     | Section:  |                                |
| Form 990 or 990-EZ             | $\overline{X}$ 501(c)( 3) (enter number) organization           |                                |
|                                | 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a p | private foundation             |
|                                | 527 political organization                                      |                                |
| Form 990-PF                    | 501(c)(3) exempt private foundation                             |                                |
|                                | 4947(a)(1) nonexempt charitable trust treated as a priva        | te foundation                  |
|                                | 501(c)(3) taxable private foundation                            |                                |
|                                |   |                                |

Check if your organization is covered by the General Rule or a Special Rule.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

#### General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

#### Special Rules

X For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Don't complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year .....

**Caution.** An organization that isn't covered by the General Rule and/or the Special Rules doesn't file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it doesn't meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2016)

| Schedule B (Form 990, 990-EZ, or 990-PF) (2016) | Page         | 1       | of          | 1  | of Part I |
|---|--------------|---------|-------------|----|-----------|
| Name of organization                            | Employer ide | entific | ation numbe | er |           |
| AUTISM SCIENCE FOUNDATION                       | 26-4522309   |         |             |    |           |

| Part I        | Contributors (see instructions). Use duplicate copies of Part I if additional space | is needed.                    |  |
|---------------|---|-------------------------------|--|
| (a)<br>Number | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total<br>contributions | (d)<br>Type of contribution  |
| <u>1</u>      |   | \$25,000.                     | Person X<br>Payroll<br>Noncash<br>(Complete Part II for<br>noncash contributions.) |
| (a)<br>Number | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total<br>contributions | (d)<br>Type of contribution  |
| 2             |   | \$ <u>50,000</u> .            | Person     X       Payroll   |
| (a)<br>Number | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total<br>contributions | (d)<br>Type of contribution  |
| 3             |   | \$ <u>50,000</u> .            | Person     X       Payroll   |
| (a)<br>Number | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total<br>contributions | (d)<br>Type of contribution  |
| <u>4</u>      |   | \$22,500.                     | Person     X       Payroll   |
| (a)<br>Number | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total<br>contributions | (d)<br>Type of contribution  |
| <u>5</u>      |   | \$ <u>300,000</u> .           | Person X<br>Payroll<br>Noncash<br>(Complete Part II for<br>noncash contributions.) |
| (a)<br>Number | (b)<br>Name, address, and ZIP + 4   | (c)<br>Total<br>contributions | (d)<br>Type of contribution  |
|               |   | \$                            | Person<br>Payroll<br>Noncash<br>(Complete Part II for<br>noncash contributions.)   |

| Schedule B (Form 990, 990-EZ, or 990-PF) (2016) | 1 | to  | 1             | of Part II |          |
|---|---|-----|---------------|------------|----------|
| Name of organization                            |   | Emp | loyer identif | icatior    | n number |
| AUTISM SCIENCE FOUNDATION                       |   | 26- | -45223        | 09         |          |

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

| art II                    | <b>Noncash Property</b> (see instructions). Use duplicate copies of Part II if additional | space is needed.                               |                      |
|---------------------------|---|--|----------------------|
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given  | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|                           | N/A   |  |                      |
|                           |   |  |                      |
|                           |   | \$   |                      |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given  | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|                           |   |  |                      |
|                           |   | <br><br>\$                                     |                      |
|                           |   |  |                      |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given  | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|                           |   |  |                      |
|                           |   | <br>s  |                      |
|                           |   |  |                      |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given  | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|                           |   |  |                      |
|                           |   |  |                      |
|                           |   |  |                      |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given  | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|                           |   |  |                      |
|                           |   | <br>   |                      |
|                           |   | <sup></sup>                                    |                      |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given  | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|                           |   |  |                      |
|                           |   |  |                      |
|                           |   |  |                      |

|                           | 3 (Form 990, 990-EZ, or 990-PF) (2016)   |   |                     | Page                                  | 1 to  | 1 of <b>Part</b>                   | III      |
|---------------------------|--|---|---------------------|---------------------------------------|---|------------------------------------|----------|
| Name of organ             | nization<br>SCIENCE FOUNDATION   |   |                     |                                       | Employer ider<br>26-4522                          | tification number                  |          |
|                           | <b>Exclusively religious, charitable, et</b><br>or (10) that total more than \$1,000 for the<br>the following line entry. For organizations of<br>contributions of \$1,000 or less for the year.<br>Use duplicate copies of Part III if additional | he year from any one contribut<br>ompleting Part III, enter the total of<br>(Enter this information once. See | t <b>or.</b> Comple | te columns <b>(a</b><br>e/v religious | in section<br>) through (e) ar<br>, charitable, e | <b>501(c)(7), (8)</b><br>nd<br>.tc |          |
| (a)<br>No. from<br>Part I | (b)<br>Purpose of gift   | (c)<br>Use of gift  |                     | Desc                                  | (d)<br>cription of ho                             | w gift is held                     |          |
|                           | N/A  |   |                     |                                       |   |                                    |          |
|                           |  |   |                     |                                       |   |                                    |          |
|                           | Transferee's name, addres  | (e)<br>Transfer of gift<br>s, and ZIP + 4   |                     | tionship of                           | transferor to                                     | transferee                         |          |
| (a)<br>No. from<br>Part I | (b)<br>Purpose of gift   | (c)<br>Use of gift  |                     | Desc                                  | (d)<br>cription of ho                             | w gift is held                     |          |
|                           |  |   |                     |                                       |   |                                    | <br><br> |
|                           | Transferee's name, addres  | Relationship of transferor to transferee  |                     |                                       |   |                                    |          |
| (a)<br>No. from<br>Part I | (b)<br>Purpose of gift   | (c)<br>Use of gift  |                     | Desc                                  | (d)<br>cription of ho                             | w gift is held                     |          |
|                           |  | <br><br>(e)<br>Transfer of gift   |                     |                                       |   |                                    | ·<br>·   |
|                           | Transferee's name, addres  | Rela  | tionship of         | transferor to                         | transferee  |                                    |          |
| (a)<br>No. from<br>Part I | (b)<br>Purpose of gift   | (c)<br>Use of gift  |                     | Desc                                  | (d)<br>cription of ho                             | w gift is held                     |          |
|                           |  |   |                     |                                       |   |                                    |          |
|                           | Transferee's name, addres  | (e)<br>Transfer of gift<br>s, and ZIP + 4   | Rela                | tionship of                           | transferor to                                     | transferee                         |          |
|                           |  |   | <br>                |                                       |   |                                    |          |
| BAA                       |  |   | Sche                | dule B (Forn                          | n 990, 990-EZ,                                    | or 990-PF) (2016                   | )        |

# SCHEDULE D (Form 990)

# Supplemental Financial Statements ► Complete if the organization answered 'Yes' on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. ► Attach to Form 990. ► Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

**Open to Public** 

OMB No. 1545-0047

2016

| Depar<br>Intern | tment of the Treasury<br>al Revenue Service                       | Information about Sche  | dule D (Form 990) and its in                                     | structions is at www.irs.                           | gov/form990.                          | Open<br>Inspe              | to Public ction    |
|-----------------|---|---|--|---|---------------------------------------|----------------------------|--------------------|
| Name            | of the organization   |   |  |   | Employer i                            | dentification              | number             |
|                 |   | CIENCE FOUNDATION   |  |   | 26-452                                | 22309                      |                    |
| Par             | t I Organizat   | tions Maintaining Dono<br>if the organization answ  | or Advised Funds or Ot   | h <b>er Similar Funds o</b><br>0 Part IV line 6     | r Accounts.                           |                            |                    |
|                 | Complete  |   | (a) Donor advised  |   | (b) Funds and                         | othor and                  | ounto              |
| 1               | Total number at e   | end of year   |  |   |                                       |                            | ounts              |
| -               |   | tributions to (during year).  |  |   |                                       |                            |                    |
| 2               |   | ints from (during year)   |  |   |                                       |                            |                    |
| 3               |   |   |  |   |                                       |                            |                    |
| 4               | Ayyreyale value a   | at end of year  |  |   |                                       |                            |                    |
| 5               | Did the organizati are the organizati                             | on inform all donors and don<br>on's property, subject to the   | nor advisors in writing that th<br>organization's exclusive lega | e assets held in donor ad<br>I control?             | dvised funds                          | Yes                        | No                 |
| 6               | Did the organizati  | on inform all grantees, donor   | rs, and donor advisors in wri                                    | ting that grant funds can                           | be used only                          |                            |                    |
|                 | impermissible priv  | poses and not for the benefit vate benefit?   |  |   |                                       | Yes                        | No                 |
| Par             |   | tion Easements.   |  |   |                                       |                            |                    |
| r ai            |   | if the organization answ  | wered 'Yes' on Form 99   | 0 Part IV line 7                                    |                                       |                            |                    |
| 1               |   | servation easements held by   |  |   |                                       |                            |                    |
| •               |   | of land for public use (e.g., re  |  | Preservation of a his                               | storically importa                    | ont land ar                | ~ea                |
|                 |   | natural habitat   |  | Preservation of a ce                                |                                       |                            | ea                 |
|                 |   | of open space   |  | Freservation of a ce                                |                                       | ructure                    |                    |
| ~               |   |   |  |   |                                       |                            |                    |
| 2               | last day of the tax   | through 2d if the organization h  | held a qualified conservation co                                 | ntribution in the form of a                         | conservation ease                     | ement on t                 | ne                 |
|                 | hast day of the tay   |   |  |   | Held at the                           | End of th                  | ne Tax Year        |
| 2               | Total number of c   | conservation easements  |  |   | 2a                                    |                            |                    |
|                 |   | tricted by conservation easer   |  |   | 2 b                                   |                            |                    |
|                 | 0   | rvation easements on a certif   |  |   | 2 c                                   |                            |                    |
|                 |   | vation easements included in  |  |   | 20                                    |                            |                    |
|                 | structure listed in   | the National Register   |  |   | 2 d                                   |                            |                    |
| 3               | Number of conserv   | ation easements modified, tran  | nsferred, released, extinguished                                 | , or terminated by the orga                         | anization during th                   | ne                         |                    |
|                 | tax year 🕨  |   |  |   |                                       |                            |                    |
| 4               |   | where property subject to conse   |  |   |                                       |                            |                    |
| 5               | Does the organization and enforcement                             | ation have a written policy reg<br>of the conservation easemen  | garding the periodic monitori                                    | ng, inspection, handling                            | of violations,                        | Yes                        | No                 |
| 6               | Staff and volunteer<br>►  | hours devoted to monitoring, in   | inspecting, handling of violatior                                | s, and enforcing conserva                           | tion easements d                      | uring the y                | ear                |
| 7               | Amount of expense<br>►\$  | es incurred in monitoring, inspe  | ecting, handling of violations, a                                | nd enforcing conservation of                        | easements during                      | the year                   |                    |
| 8               |   | rvation easement reported on<br>n)(4)(B)(ii)?   |  |   |                                       | Yes                        | No                 |
| 9               | In Part XIII, describ<br>include, if applica<br>conservation ease | be how the organization reports<br>able, the text of the footnote t<br>ements.                        | s conservation easements in its to the organization's financia   | revenue and expense stat<br>statements that describ | tement, and balar<br>es the organizat | ice sheet, a<br>ion's acco | and<br>ounting for |
| Par             | t III Organizat<br>Complete                                       | tions Maintaining Collect<br>if the organization answ   | <b>ctions of Art, Historica</b><br>wered 'Yes' on Form 99        | I Treasures, or Othe<br>0, Part IV, line 8.         | er Similar Ass                        | sets.                      |                    |
| 1 a             | art, historical treas   | n elected, as permitted under<br>ures, or other similar assets he<br>ext of the footnote to its finan | eld for public exhibition, educati                               | on, or research in furthera                         | atement and bal<br>nce of public serv | ance shee<br>ice, provid   | et works of<br>le, |
| t               |   | n elected, as permitted under<br>a, or other similar assets held fo                                   |  |   |                                       |                            |                    |

| AA | A For Paperwork Reduction Act Notice, see the Instructions for Form 990. TEEA3301L 08/15/16  | Schedule <b>D</b> (Form 990) 2016 |
|----|--|-----------------------------------|
| I  | <b>b</b> Assets included in Form 990, Part X   | ►\$                               |
| ä  | a Revenue included on Form 990, Part VIII, line 1  | ►\$                               |
| 2  | If the organization received or held works of art, historical treasures, or other similar assets for financial gain, prov<br>amounts required to be reported under SFAS 116 (ASC 958) relating to these items: | ide the following                 |
|    | (ii) Assets included in Form 990, Part X   | ►\$                               |
|    | (i) Revenue included on Form 990, Part VIII, line 1  | ►\$                               |
|    | following amounts relating to these items:   |                                   |

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

| Schedule D (Form 990) 2016 AUTIS   |                 |                  |                            |             |                                |          | 26-4522                  |                 |           | Page 2 |
|--|-----------------|------------------|----------------------------|-------------|--------------------------------|----------|--------------------------|-----------------|-----------|--------|
| Part III Organizations Mainta  | ining Colle     | ections          | of Art, Histo              | orica       | Treasures, o                   | r Oth    | er Similar Ass           | ets (cc         | ontinu    | ed)    |
| 3 Using the organization's acquisition items (check all that apply):     | i, accession, a | and other r      | ecords, check a            | ny of t     | he following that a            | re a sig | gnificant use of its o   | collectior      | ۱         |        |
| <b>a</b> Public exhibition   |                 |                  | d Loan                     | or exc      | hange programs                 |          |                          |                 |           |        |
| <b>b</b> Scholarly research  |                 |                  | e Other                    |             |                                |          |                          |                 |           |        |
| c Preservation for future gener  | ations          |                  |                            |             |                                |          |                          |                 |           |        |
| 4 Provide a description of the organiz<br>Part XIII.                     |                 |                  |                            |             | -                              |          |                          |                 |           |        |
| 5 During the year, did the organiza to be sold to raise funds rather the | tion solicit or | r receive        | donations of ar            | t, hist     | orical treasures, o            | or othe  | r similar assets         | Yes             | Г         | No     |
| Part IV Escrow and Custodia  |                 |                  |                            |             |                                |          |                          |                 | ) Par     | -      |
| line 9, or reported an   | amount on       | Form S           | 990, Part X,               | line        | 21.                            | 1344014  |                          | 111 990         | , i ai    | ,      |
| <b>1 a</b> Is the organization an agent, true<br>on Form 990, Part X?    | stee, custodia  | an or othe       | er intermediary            | for co      | ontributions or oth            | er ass   | ets not included         | Yes             | Г         | No     |
| <b>b</b> If 'Yes,' explain the arrangement                               |                 |                  |                            |             |                                |          | L                        |                 | L         |        |
|  |                 |                  |                            | 0           |                                |          |                          | Amount          |           |        |
| <b>c</b> Beginning balance   |                 |                  |                            |             |                                |          | 1 c                      |                 |           |        |
| <b>d</b> Additions during the year                                       |                 |                  |                            |             |                                |          | 1 d                      |                 |           |        |
| e Distributions during the year  |                 |                  |                            |             |                                |          | 1 e                      |                 |           |        |
| f Ending balance   |                 |                  |                            |             |                                |          | 1 f                      |                 |           |        |
| 2 a Did the organization include an a                                    | amount on Fo    | orm 990, F       | Part X, line 21,           | for es      | scrow or custodial             | l accou  | Int liability?           | Yes             |           | No     |
| <b>b</b> If 'Yes,' explain the arrangement                               | in Part XIII.   | Check he         | ere if the explai          | nation      | has been provide               | ed on F  | Part XIII                | <br>            |           | 1      |
|  |                 |                  |                            |             |                                |          |                          |                 |           |        |
| Part V Endowment Funds. C  | omplete if      | the org          | anization ar               | nswer       | red 'Yes' on Fo                | orm 9    | 90, Part IV, Iir         | ne 10.          |           |        |
| •  | (a) Current     |                  | (b) Prior yea              |             | (c) Two years bacl             |          | (d) Three years back     |                 | our years | s back |
| 1 a Beginning of year balance  |                 |                  |                            |             |                                |          |                          |                 |           |        |
| <b>b</b> Contributions   |                 |                  |                            |             |                                |          |                          |                 |           |        |
| c Net investment earnings, gains, and losses                             |                 |                  |                            |             |                                |          |                          |                 |           |        |
| <b>d</b> Grants or scholarships  |                 |                  |                            |             |                                |          |                          |                 |           |        |
| e Other expenditures for facilities                                      |                 |                  |                            |             |                                |          |                          |                 |           |        |
| and programs   |                 |                  |                            |             |                                |          |                          |                 |           |        |
| f Administrative expenses  |                 |                  |                            |             |                                |          |                          |                 |           |        |
| <b>g</b> End of year balance   |                 |                  |                            |             |                                |          |                          |                 |           |        |
| 2 Provide the estimated percentag  | e of the curre  | ent year e       | nd balance (lir            | ne 1g,      | column (a)) held               | as:      |                          |                 |           |        |
| <b>a</b> Board designated or quasi-endowm                                | ient 🕨          |                  | 010                        |             |                                |          |                          |                 |           |        |
| <b>b</b> Permanent endowment   | 0/0             | 5                |                            |             |                                |          |                          |                 |           |        |
| c Temporarily restricted endowmer  | nt ►            |                  | 010                        |             |                                |          |                          |                 |           |        |
| The percentages on lines 2a, 2b, a                                       | nd 2c should e  | equal 1009       | %.                         |             |                                |          |                          |                 |           |        |
| <b>3 a</b> Are there endowment funds not in t                            | he nossession   | n of the or      | nanization that :          | are hel     | d and administered             | d for th | ۵                        |                 |           |        |
| organization by:   | 10 00300300     |                  | gamzation that a           |             |                                |          | 6                        |                 | Yes       | No     |
| (i) unrelated organizations  |                 |                  |                            |             |                                |          |                          | 3a(i)           |           |        |
| (ii) related organizations   |                 |                  |                            |             |                                |          |                          | 3a(ii)          |           |        |
| <b>b</b> If 'Yes' on line 3a(ii), are the rela                           | ated organiza   | tions liste      | ed as required             | on Scl      | hedule R?                      |          |                          | 3b              |           |        |
| 4 Describe in Part XIII the intended                                     | d uses of the   | organiza         | tion's endowm              | ent fur     | nds.                           |          |                          | ·               |           |        |
| Part VI Land, Buildings, and   | Equipmen        | t.               |                            |             |                                |          |                          |                 |           |        |
| Complete if the organ  | ization ans     | wered '          | Yes' on Fori               | m 99        | 0, Part IV, line               | e 11a    | . See Form 990           | ), Part         | : X, lir  | ne 10. |
| Description of property  |                 | (a) Cost<br>(inv | or other basis<br>estment) | <b>(b</b> ) | Cost or other<br>casis (other) | (c)      | Accumulated lepreciation | <b>(d)</b> B    | Book va   | lue    |
| <b>1 a</b> Land  |                 | Ì                | ,                          |             | . /                            |          |                          |                 |           |        |
| <b>b</b> Buildings   |                 |                  |                            |             |                                |          |                          |                 |           |        |
| <b>c</b> Leasehold improvements  |                 |                  |                            |             | 14,553.                        |          | 14,553.                  |                 |           | 0.     |
| <b>d</b> Equipment   |                 |                  |                            |             |                                |          |                          |                 |           |        |
| <b>e</b> Other   |                 |                  |                            |             | 14,147.                        | -        | 14,147.                  |                 |           | 0.     |
| Total. Add lines 1a through 1e. (Colum                                   |                 | qual Forn        | n 990, Part X.             | colum       |                                |          |                          |                 |           | 0.     |
| ВАА  | ,,              |                  |                            |             |                                | -        |                          | le <b>D</b> (Fo | rm 990    |        |

| Schedule      | O (Form 990) 2016 AUTISM SCIENCE FOU                         | JNDATION       | 26-45                                   | 522309                  | Page 3    |
|---------------|--|----------------|---|-------------------------|-----------|
| Part VII      | Investments – Other Securities.                              |                | N/A                                     |                         |           |
|               | Complete if the organization answered                        |                |   |                         |           |
|               | ription of security or category (including name of security) | (b) Book value | (c) Method of valuation: Cost or end-   | -of-year market va      | lue       |
| . ,           | ial derivatives  |                |   |                         |           |
|               | y-held equity interests                                      |                |   |                         |           |
| (3) Other     |  |                |   |                         |           |
| (A)<br>(B)    |  |                |   |                         |           |
| (C)           |  |                |   |                         |           |
| (D)           |  |                |   |                         |           |
| <u>(E)</u>    |  |                |   |                         |           |
| <u> </u>      |  |                |   |                         |           |
| <u>(</u> G)   |  |                |   |                         |           |
| (H)           |  |                |   |                         |           |
| ( )           |  |                |   |                         |           |
| Total. (Colun | nn (b) must equal Form 990, Part X, column (B) line 12.) 🕨   |                |   |                         |           |
| Part VIII     | Investments – Program Related.                               |                | N/A                                     |                         |           |
|               | Complete if the organization answered                        |                |   |                         |           |
|               | (a) Description of investment                                | (b) Book value | (c) Method of valuation: Cost or en     | d-of-year mark          | ket value |
| (1)           |  |                |   |                         |           |
| (2)           |  |                |   |                         |           |
| (3)           |  |                |   |                         |           |
| (4)           |  |                |   |                         |           |
| (5)<br>(6)    |  |                |   |                         |           |
| (7)           |  |                |   |                         |           |
| (8)           |  |                |   |                         |           |
| (9)           |  |                |   |                         |           |
| (10)          |  |                |   |                         |           |
|               | nn (b) must equal Form 990, Part X, column (B) line 13.) 🕨   |                |   |                         |           |
| Part IX       | Other Assets.<br>Complete if the organization answered       | N/A            |   |                         |           |
|               |  | scription      | ), Part IV, line IId. See Form          | 990, Part X<br>(b) Book |           |
| (1)           |  | scription      |   |                         | value     |
| (2)           |  |                |   |                         |           |
| (3)           |  |                |   |                         |           |
| (4)           |  |                |   |                         |           |
| (5)           |  |                |   |                         |           |
| (6)           |  |                |   |                         |           |
| (7)<br>(8)    |  |                |   |                         |           |
| (9)           |  |                |   |                         |           |
| (10)          |  |                |   |                         |           |
|               | lumn (b) must equal Form 990, Part X, column (l              | B) line 15.)   |   | •                       |           |
| Part X        | Other Liabilities.   | <u>, ,</u>     |   |                         |           |
|               | Complete if the organization answered 'Yes' on F             |                | le or 11f. See Form 990, Part X, line 2 | 5                       |           |
|               | (a) Description of liability                                 | (b) Book value |   |                         |           |
|               | ral income taxes   |                |   |                         |           |
| (2)<br>(3)    |  |                |   |                         |           |
| (4)           |  |                |   |                         |           |
| (5)           |  |                |   |                         |           |
| (6)           |  |                |   |                         |           |
| (7)           |  |                |   |                         |           |
| (8)           |  |                |   |                         |           |
| (9)           |  |                |   |                         |           |
| (10)          |  |                |   |                         |           |

Total. (Column (b) must equal Form 990, Part X, column (B) line 25.).....

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII.

(11)

| Schedule D (Form 990) 2016 AUTISM SCIENCE FOUNDATION                                 | 26-452230  | 9 Page 4   |
|--|------------|------------|
| Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per  | Return.    |            |
| Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.          |            |            |
| 1 Total revenue, gains, and other support per audited financial statements           | 1          | 1,289,093. |
| 2 Amounts included on line 1 but not on Form 990, Part VIII, line 12:                |            |            |
| a Net unrealized gains (losses) on investments                                       | 1.         |            |
| b Donated services and use of facilities   | 0.         |            |
| c Recoveries of prior year grants  |            |            |
| d Other (Describe in Part XIII.)   |            |            |
| e Add lines <b>2a</b> through <b>2d</b>  | 2e         | 57,171.    |
| 3 Subtract line 2e from line 1   | 3          | 1,231,922. |
| 4 Amounts included on Form 990, Part VIII, line 12, but not on line 1:               |            |            |
| a Investment expenses not included on Form 990, Part VIII, line 7b 4a                |            |            |
| b Other (Describe in Part XIII.)   |            |            |
| c Add lines <b>4a</b> and <b>4b</b>  | 4c         |            |
| 5 Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)    | 5          | 1,231,922. |
| Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses p | er Return. | <u> </u>   |
| Complete if the organization answered 'Yes' on Form 990, Part IV, line 12a.          |            |            |
| 1 Total expenses and losses per audited financial statements                         | 1          | 1,315,604. |
| 2 Amounts included on line 1 but not on Form 990, Part IX, line 25:                  |            | _,,        |
| a Donated services and use of facilities   | 0          |            |
| b Prior year adjustments   | <u>.</u>   |            |
| c Other losses   | _          |            |
| d Other (Describe in Part XIII.)   | _          |            |
| e Add lines <b>2a</b> through <b>2d</b> .  | 2e         | 45,000.    |
| 3 Subtract line 2e from line 1.  | -          | 1,270,604. |
| 4 Amounts included on Form 990, Part IX, line 25, but not on line 1:                 |            | 1,270,004. |
| a Investment expenses not included on Form 990, Part VIII, line 7b 4a                |            |            |
| b Other (Describe in Part XIII.)   |            |            |
| c Add lines 4a and 4b  | 4c         |            |
| 5 Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.).  |            | 1,270,604. |
| Part XIII Supplemental Information.  | · · ·      | <u>.</u>   |

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

#### PART X - FIN 48 FOOTNOTE

ASF'S ACCOUNTING POLICY IS TO PROVIDE LIABILITIES FOR UNCERTAIN TAX POSITIONS WHEN A

LIABILITY IS PROBABLE AND ESTIMABLE. MANAGEMENT IS NOT AWARE OF ANY VIOLATION OF ITS

TAX STATUS AS AN ORGANIZATION EXEMPT FROM INCOME TAXES, NOR OF ANY EXPOSURE TO

UNRELATED BUSINESS INCOME TAX.

Schedule **D** (Form 990) 2016

|   | Supplem                                   | ental Informa                     | tion Reg                     | jarding F                                | undraising or Gamir   | ng Activities  | OMB No. 1545-0047  |
|---|---|-----------------------------------|------------------------------|--|---|--|--|
| SCHEDULE G<br>(Form 990 or 990-EZ)                      | Comple                                    | 2016                              |                              |  |   |  |  |
| Department of the Treasury<br>Internal Revenue Service  | ► Informatio                              | Ū                                 | <ul> <li>Attach t</li> </ul> | to Form 990 c                            | 000 on Form 990-EZ, line 6a<br>or Form 990-EZ.<br>and its instructions is at <b>w</b> a | vw.irs.gov/form990.  | Open to Public<br>Inspection                                   |
| Name of the organization AUTISM SCIENCE                 | FOUNDATION                                | J                                 |                              |  |   | Employer identifica  |  |
| Fundraising   | Activities. Comple                        | te if the organiza                |                              |  | n Form 990, Part IV, line   |  | <u> </u>   |
|   | Z filers are not re<br>the organization   |                                   |                              |  | owing activities. Check   | all that apply.  |  |
| <b>a</b> Mail solicitati                                | -   |                                   | ough unj                     |  | X Solicitation of non-  |  |  |
| <b>b</b> X Internet and                                 | email solicitations                       | 5                                 |                              | f  | Solicitation of gove  | rnment grants  |  |
| c Phone solicit   |   |                                   |                              | g  | X Special fundraising   | events   |  |
| <b>d</b> X In-person sol                                |   | r oral agreement                  | with any i                   | ndividual (ii                            | ncluding officers, director   | rs trustees or key   |  |
| employees listed  | in Form 990, Par                          | rt VII) or entity i               | n connect                    | tion with pr                             | ofessional fundraising  | services?  |  |
| <b>b</b> If 'Yes,' list the 1 compensated at            | 0 highest paid inc<br>least \$5,000 by th | dividuals or entine organization. | ties (fundi                  | raisers) pu                              | rsuant to agreements ι  | Inder which the fundrai  | ser is to be   |
| (i) Name and addres<br>or entity (fund                  |   | (ii) Activity                     | have custo                   | fundraiser<br>dy or control<br>ibutions? | (iv) Gross receipts<br>from activity  | (v) Amount paid to<br>(or retained by)<br>fundraiser listed in<br>column (i) | <b>(vi)</b> Amount paid to<br>(or retained by)<br>organization |
| GLOBAL IMPACT   | PRODUCTION                                |                                   | Yes                          | No                                       |   |  |  |
| <b>1</b> 127 W 26 ST                                    |   | FUNDRAISIN<br>G                   |                              | 37                                       | 044 010   | 100 104  | 100 004  |
| NEW YORK NY 1<br>EVNT W GINGER                          |   | CONSULTANT                        |                              | Х  | 244,818.  | 122,134.   | 122,684.   |
| 2 717 HARDING S   |   | FUNDRAISIN<br>G                   |                              |  |   |  |  |
| WESTFIELD NJ  | 07090                                     | CONSULTANT                        |                              | Х  | 40,650.   | 28,493.  | 12,157.  |
| 3   |   |                                   |                              |  |   |  |  |
| 4   |   |                                   |                              |  |   |  |  |
| 5   |   |                                   |                              |  |   |  |  |
| 6   |   |                                   |                              |  |   |  |  |
| 7   |   |                                   |                              |  |   |  |  |
| 8   |   |                                   |                              |  |   |  |  |
| 9   |   |                                   |                              |  |   |  |  |
| 10  |   |                                   |                              |  |   |  |  |
|   |   |                                   |                              |  | 285,468.  | 150,627.   |  |
| 3 List all states in w<br>or licensing.<br><u>NJ_NY</u> | hich the organization                     | on is registered (                | or licensed                  |  | ontributions or has been i  | notified it is exempt from   | registration   |
| <b>_</b>  |   |                                   |                              |  |   |  |  |

### Schedule G (Form 990 or 990-EZ) 2016 AUTISM SCIENCE FOUNDATION

26-4522309 Page **2** 

| Part II | Fundraising Events. Complete if the organization answered 'Yes' on Form 990, Part IV, line 18, or reported |
|---------|--|
|         | more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b.     |
|         | List events with gross receipts greater than \$5,000.  |

| R                          |                |   | (a) Event #1<br>BIKE EVENT<br>(event type)             | (b) Event #2<br>SCORING GOALS<br>(event type)       | (c) Other events<br>NONE<br>(total number) | (d) Total events<br>(add column (a)<br>through column (c))                       |
|----------------------------|----------------|---|--|---|--|--|
| R E V E N U E              | 1              | Gross receipts  | 244,812.   | 55,948.   |  | 300,760.   |
| E                          | 2              | Less: Contributions   | 244,812.   | 55,948.   |  | 300,760.   |
|                            | 3              | Gross income (line 1 minus line 2)  |  |   |  |  |
|                            | 4              | Cash prizes.  |  |   |  |  |
| п                          | 5              | Noncash prizes  |  |   |  |  |
| D<br>I<br>R<br>E<br>C<br>T | 6              | Rent/facility costs   |  |   |  |  |
|                            | 7              | Food and beverages  |  |   |  |  |
| E<br>X<br>P                | 8              | Entertainment   |  |   |  |  |
| EXPENSES                   | 9              | Other direct expenses   | 141,605.   | 3,680.  |  | 145,285.   |
| S                          | 10<br>11       | Direct expense summary. Add lines 4 thr<br>Net income summary. Subtract line 10 fro                         | • •  |   |  |  |
| Par                        | t III          | <b>Gaming.</b> Complete if the organiza \$15,000 on Form 990-EZ, line 6a.                                   | tion answered 'Yes                                     |   |  |  |
| REVENUE                    |                |   | <b>(a)</b> Bingo                                       | (b) Pull tabs/instant<br>bingo/progressive<br>bingo | (c) Other gaming                           | <b>(d)</b> Total gaming<br>(add column <b>(a)</b><br>through column <b>(c)</b> ) |
| Ü<br>E                     | 1              | Gross revenue   |  |   |  |  |
| E                          | 2              | Cash prizes   |  |   |  |  |
| EXPENSE<br>DIRECT          | 3              | Noncash prizes  |  |   |  |  |
| CS<br>TE<br>S              | 4              | Rent/facility costs   |  |   |  |  |
|                            | 5              | Other direct expenses   |  |   |  |  |
|                            | 6              | Volunteer labor   | Yes%   | Yes <sup>%</sup><br>No                              | Yes%                                       |  |
|                            | 7              | Direct expense summary. Add lines 2 thr   | ough 5 in column (d)                                   |   |  |  |
|                            | 8              | Net gaming income summary. Subtract li  | ne 7 from line 1, colum                                | ın (d)  |  |  |
|                            | <b>i</b> Is th | er the state(s) in which the organization co<br>ne organization licensed to conduct gaming<br>lo,' explain: | onducts gaming activitie<br>g activities in each of th | es:<br>nese states?                                 |  | Yes No   |
|                            |                | e any of the organization's gaming license<br>'es,' explain:  |  |   |  |  |

Schedule G (Form 990 or 990-EZ) 2016

| Schedule G (Form 990 or 990-EZ) 2016 AUTISM SCIENCE FOUNDATION   | 26-4522309          | Page 3    |
|--|---------------------|-----------|
| 11 Does the organization conduct gaming activities with nonmembers?  | Yes                 | No        |
| 12 Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed administer charitable gaming?   |                     | No        |
| <b>13</b> Indicate the percentage of gaming activity conducted in:   |                     | 0.        |
| <ul><li>a The organization's facility.</li><li>b An outside facility.</li></ul>  |                     | 010       |
| 14 Enter the name and address of the person who prepares the organization's gaming/special events books and rec  |                     | 0         |
| Name ►   |                     |           |
| Address ►  |                     |           |
| <ul> <li>15 a Does the organization have a contract with a third party from whom the organization receives gaming revelue b If 'Yes,' enter the amount of gaming revenue received by the organization &lt; \$ are of gaming revenue retained by the third party &lt; \$</li> <li>c If 'Yes,' enter name and address of the third party:</li> </ul> | venue? Yes          | No        |
| Name ►   |                     |           |
| Address ►  |                     | <br> <br> |
| 16 Gaming manager information:   |                     |           |
| Name ►   |                     |           |
| Gaming manager compensation ► \$   |                     |           |
| Description of services provided   |                     |           |
| Director/officer Employee Independent contractor   |                     |           |
| 17 Mandatory distributions   |                     |           |
| a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain t<br>state gaming license?  | he Yes              | No        |
| <ul> <li>b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spen organization's own exempt activities during the tax year </li> </ul>   |                     |           |
| Part IV Supplemental Information. Provide the explanations required by Part I, line 2b,  | columns (iii) and ( | v).       |
| and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide information. See instructions   | any additional      | • / ,     |

| SCHEDULE I   |                        |                         |                       | her Assistance                                 |                        |   | Ļ                                       | OMB No. 1545-0047      |
|--|------------------------|-------------------------|-----------------------|--|------------------------|---|---|------------------------|
| (Form 990)   |                        |                         | ,                     | nd Individuals i                               |                        |   |   | 2016                   |
|  |                        | Comple                  | te if the organizat   | ion answered 'Yes' on F<br>► Attach to Form 99 |                        | 1 or 22.  |   | Open to Public         |
| Department of the Treasury<br>Internal Revenue Service |                        | Information             | n about Schedule I    | (Form 990) and its inst                        |                        | gov/form990.  |   | Inspection             |
| Name of the organization                               |                        |                         |                       |  |                        |   | Employer identifie                      |                        |
| AUTISM SCIENCE   |                        |                         |                       |  |                        |   | 26-452230                               | )9                     |
|  |                        | ants and Assista        |                       |  |                        |   |   |                        |
|  |                        |                         |                       | r assistance, the grantees                     |                        |   |   | X Yes No               |
| 2 Describe in Part IV                                  | the organization's pro | ocedures for monitoring | g the use of grant fu | unds in the United States.                     |                        |   |   |                        |
| Part II Grants and                                     | d Other Assistar       | nce to Domestic         | Organizations         | and Domestic Gov                               | ernments. Comple       | te if the organiza  | tion answered 'Y                        | 'es' on                |
|  |                        |                         |                       | more than \$5,000. I                           |                        |   |   |                        |
| <b>1 (a)</b> Name and addr                             | ress of organization   | <b>(b)</b> EIN          | (c) IRC section       | (d) Amount of cash grant                       | (e) Amount of non-cash | (f) Method of valuation                                     | (g) Description of                      | (h) Purpose of grant   |
| or gove  | ernment                |                         | (if applicable)       |  | assistance             | (f) Method of valuation<br>(book, FMV, appraisal,<br>other) | noncash assistance                      | or assistance          |
| (1) UNIV OF WASHING                                    | STON                   |                         |                       |  |                        |   |   |                        |
| 4333 BROOKLYN A  | VENUE                  |                         |                       |  |                        |   |   |                        |
| SEATTLE, WA 981  | .95                    | 91-6001537              | 501(C)(3)             | 35,000.  | 0.                     |   |   | AUTISM RESEARCH        |
| (2) UNIV OF CALIFOR                                    | NIA, DAVIS             |                         |                       |  |                        |   |   |                        |
| <u>1 SHIELDS AVENU</u>                                 | JE                     |                         |                       |  |                        |   |   |                        |
| DAVIS, CA 95616  | 5                      | 94-6036494              | 501(C)(3)             | 25,000.  | 0.                     |   |   | AUTISM RESEARCH        |
| (3) JOHNS HOPKINS                                      |                        |                         |                       |  |                        |   |   |                        |
| 733 N. BROADWAY  |                        |                         |                       |  |                        |   |   |                        |
| BALTIMORE, MD 2  |                        | 52-0595110              | 501(C)(3)             | 25,000.  | 0.                     |   |   | AUTISM RESEARCH        |
| (4) ICAHN SCHOOL AT                                    |                        |                         |                       |  |                        |   |   |                        |
| ONE GUSTAVE L.   |                        |                         |                       |  | _                      |   |   |                        |
| NEW YORK, NY 10  |                        | 94-6036493              | 501(C)(3)             | 92,742.  | 0.                     |   |   | AUTISM RESEARCH        |
| (5) UNIV. OF CALIF.                                    |                        |                         |                       |  |                        |   |   |                        |
| 9500 GILMAN DRI  |                        | 05 6006144              | F01 (Q) (Q)           | 05.000   | 0                      |   |   | NUT ON DECENDOU        |
| LA JOLLA, CA 92  |                        | 95-6006144              | 501(0)(3)             | 25,000.  | 0.                     |   |   | AUTISM RESEARCH        |
| (6) MARCUS AUTISM C                                    |                        |                         |                       |  |                        |   |   |                        |
| <u>1920_BRIARCLIFF</u><br>ATLANTA, GA 303              |                        | 58-2367819              | 501(C)(3)             | 35,000.  | 0.                     |   |   | AUTISM RESEARCH        |
| (7) UNIV OF CALIF.,                                    |                        | 30-2307019              | 501(0)(5)             | 55,000.  | 0.                     |   |   | AUTISM RESEARCH        |
| 660 CHARLES E.   |                        |                         |                       |  |                        |   |   |                        |
| LOS ANGELES, CA  |                        | 95-6006143              | 501 (C) (3)           | 35,000.  | 0.                     |   |   | AUTISM RESEARCH        |
| (8) UT SOUTHWESTER                                     |                        | 20 0000110              | (0) (0)               |  |                        |   |   |                        |
| 5323 HARRY HINE  |                        |                         |                       |  |                        |   |   |                        |
| DALLAS, TX 7539  |                        | 75-2556007              | 501(C)(3)             | 25,000.  | 0.                     |   |   | AUTISM RESEARCH        |
|  |                        |                         |                       | in the line 1 table                            |                        |   | • | 1(                     |
| 3 Enter total number                                   | er of other organizati | ions listed in the line | 1 table               |  |                        |   |   | . (                    |
| BAA For Paperwork R                                    | eduction Act Notice    | , see the Instruction   | s for Form 990.       |  | TEEA3901L              | 11/03/16  | Schedu                                  | le I (Form 990) (2016) |

#### Schedule I (Form 990) (2016) AUTISM SCIENCE FOUNDATION

26-4522309

Part III Grants and Other Assistance to Domestic Individuals. Complete if the organization answered 'Yes' on Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of<br>cash grant | (d) Amount of noncash assistance | (e) Method of valuation (book,<br>FMV, appraisal, other) | (f) Description of noncash assistance |
|---------------------------------|--------------------------|-----------------------------|----------------------------------|--|---------------------------------------|
| 1                               |                          |                             |                                  |  |                                       |
| 2                               |                          |                             |                                  |  |                                       |
| 3                               |                          |                             |                                  |  |                                       |
| 4                               |                          |                             |                                  |  |                                       |
| 5                               |                          |                             |                                  |  |                                       |
| 6                               |                          |                             |                                  |  |                                       |
|                                 |                          |                             |                                  |  |                                       |

**Part IV** Supplemental Information. Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

#### **PART IV - ADDITIONAL SUPPLEMENTAL INFORMATION**

PART III, LINE 1: ASF MONITORS THE USE OF GRANT FUNDS BY REQUIRING BOTH A MIDTERM

AND END OF GRANT REPORT FROM ALL GRANTEES. IN ADDITION, ASF STAFF MONITORS

PUBLICATIONS AND PUBLIC PRESENTATIONS BY ITS GRANTEES. GRANTEES PARTICIPATE IN VIDEO

INTERVIEWS FOR THE ASF WEBSITE AND CONTRIBUTE BLOG POSTS ABOUT THE PROGRESS OF THEIR

WORK AND ITS VALUE TO FAMILIES.

## Continuation Sheet for Schedule I (Form 990)

Attach to Form 990 to list additional information for Schedule I (Form 990), Part II and Part III.

Continuation Page 1 of 1

| Name of the organization  |                   |                                    |                             |                                       |  | Employer identific                          | ation number                             |  |  |  |
|---|-------------------|------------------------------------|-----------------------------|---------------------------------------|--|---|--|--|--|--|
| AUTISM SCIENCE FOUNDATION 26-4522309  |                   |                                    |                             |                                       |  |   |  |  |  |  |
| Part II Continuation of Grants ar   | nd Other Assistar | nce to Domestic                    | c Organizations an          | d Domestic Gover                      | nments. (Schedu  | le I (Form 990), I                          | Part II.)                                |  |  |  |
| (a) Name and address of organization<br>or government                                       | <b>(b)</b> EIN    | (c) IRC section<br>(if applicable) | (d) Amount of cash<br>grant | (e) Amount of non-<br>cash assistance | (f) Method of<br>valuation (book,<br>FMV, appraisal,<br>other) | (g) Description of<br>noncash<br>assistance | (h) Purpose of<br>grant or<br>assistance |  |  |  |
| <u>UNIV. OF ROCHESTER</u>   |                   |                                    |                             |                                       |  |   |  |  |  |  |
| ROCHESTER, NY 14627   | 16-0743209        | 501(C)(3)                          | 25,000.                     |                                       |  |   | AUTISM RESEARCH                          |  |  |  |
| <u>UNIV. OF CALIF. SAN FRANCISCO</u><br><u>505 PARNASSUS AVE</u><br>SAN FRANCISCO, CA 94143 | 94-6036493        | 501 (C) (3)                        | 35,000.                     |                                       |  |   | AUTISM RESEARCH                          |  |  |  |
|   | 51 0000150        |                                    |                             |                                       |  |   |  |  |  |  |
|   |                   |                                    |                             |                                       |  |   |  |  |  |  |
|   |                   |                                    |                             |                                       |  |   |  |  |  |  |
|   |                   |                                    |                             |                                       |  |   |  |  |  |  |
|   |                   |                                    |                             |                                       |  |   |  |  |  |  |
|   |                   |                                    |                             |                                       |  |   |  |  |  |  |
|   |                   |                                    |                             |                                       |  |   |  |  |  |  |
|   |                   |                                    |                             |                                       |  |   |  |  |  |  |
|   |                   |                                    |                             |                                       |  |   |  |  |  |  |

TEEA4001L 11/03/16

2016

| SCHEDULE J |   | Compensation Information   | 1  | OMB No.          | 1545-004   | 47   |
|------------|---|--|--|------------------|------------|------|
| -          | n 990)                                    | For certain Officers, Directors, Trustees, Key Employees, and Highest Compe  | sated Employees                          | 20               | 16         |      |
|            |   | Complete if the organization answered 'Yes' on Form 990, Part IV, I  | ine 23.                                  |                  |            |      |
| Depart     | ment of the Treasury<br>I Revenue Service | Attach to Form 990. Information about Schedule J (Form 990) and its instructions is at www   | v.irs.gov/form990.                       | Open to<br>Inspe | Publection | ic   |
|            | of the organization                       |  | Employer identificatio                   | n number         |            |      |
| AUT        | ISM SCIENC                                | E FOUNDATION   | 26-4522309                               |                  |            |      |
| Par        | t I Question                              | s Regarding Compensation   |  |                  |            |      |
|            |   |  |  |                  | Yes        | No   |
| 1 a        | Check the approp<br>VII, Section A, Ii    | riate box(es) if the organization provided any of the following to or for a person listed<br>ine 1a. Complete Part III to provide any relevant information regarding these ite   | l on Form 990, Part<br>ems.              |                  |            |      |
|            | First-class o                             | r charter travel Housing allowance or residen  | ce for personal use                      |                  |            |      |
|            | Travel for co                             | ompanions Payments for business use of   | f personal residence                     |                  |            |      |
|            | Tax indemni                               | fication and gross-up payments Health or social club dues or   | initiation fees                          |                  |            |      |
|            | Discretionar                              | y spending account Personal services (such as, ma  | id, chauffeur, chef)                     |                  |            |      |
| h          | If any of the boxe                        | s on line 1a are checked, did the organization follow a written policy regarding paym  | ent or                                   |                  |            |      |
|            |   | or provision of all of the expenses described above? If 'No,' complete Part III to   |  | 1b               |            |      |
|            |   |  |  |                  |            |      |
|            |   | tion require substantiation prior to reimbursing or allowing expenses incurred tricers, including the CEO/Executive Director, regarding the items checked in lin   |  | 2                |            |      |
| 3          | CEO/Executive                             | any, of the following the filing organization used to establish the compensation of the<br>Director. Check all that apply. Do not check any boxes for methods used by a r<br>nsation of the CEO/Executive Director, but explain in Part III. | organization's<br>elated organization to |                  |            |      |
|            | Compensati                                | on committee Written employment contract   |  |                  |            |      |
|            | Independent                               | compensation consultant Compensation survey or stud  | у  |                  |            |      |
|            | Form 990 of                               | other organizations  | pensation committee                      |                  |            |      |
|            |   |  |  |                  |            |      |
| 4          | During the year, organization or a        | did any person listed on Form 990, Part VII, Section A, line 1a, with respect to a related organization:   | the filing                               |                  |            |      |
|            |   | ance payment or change-of-control payment?   |  |                  |            | Х    |
|            | •   | r receive payment from, a supplemental nonqualified retirement plan?   |  |                  |            | Х    |
|            | •   | r receive payment from, an equity-based compensation arrangement?  |  | 4c               |            | Х    |
|            | If 'Yes' to any of                        | lines 4a-c, list the persons and provide the applicable amounts for each item  | in Part III.                             |                  |            |      |
|            | Only section 50                           | 1(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5-9.  |  |                  |            |      |
| 5          | For persons listed contingent on th       | l on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any co<br>e revenues of:   | mpensation                               |                  |            |      |
|            | Ũ   | 1?   |  |                  |            | Х    |
|            |   | nization?  |  | 5b               |            | Х    |
|            | contingent on th                          | t on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any co<br>e net earnings of:   |  |                  |            |      |
|            |   | 1?   |  |                  |            | Х    |
|            |   | inization?   |  | 6b               |            | Х    |
|            | If 'Yes' on line 6a                       | or 6b, describe in Part III.   |  |                  |            |      |
| 7          | For persons lister payments not de        | ed on Form 990, Part VII, Section A, line 1a, did the organization provide any rescribed on lines 5 and 6? If 'Yes,' describe in Part III  | ionfixed                                 | 7                |            | Х    |
|            | to the initial con                        | nts reported on Form 990, Part VII, paid or accrued pursuant to a contract that tract exception described in Regulations section 53.4958-4(a)(3)?  |  | 8                |            | Х    |
| 9          | If 'Yes' on line 8, section 53.4958-      | did the organization also follow the rebuttable presumption procedure described in R<br>6(c)?  | egulations                               | 9                |            |      |
| BAA        | For Paperwork                             | Reduction Act Notice, see the Instructions for Form 990.   | Schedu                                   | le J (Forr       | n 990)     | 2016 |

For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note: The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

| (A) Name and Title   |      | (B) Breakdown            | of W-2 and/or 1099-MI               | SC compensation                           |   |                            |                                       |   |
|----------------------|------|--------------------------|-------------------------------------|---|---|----------------------------|---------------------------------------|---|
|                      |      | (i) Base<br>compensation | (ii) Bonus & incentive compensation | (iii) Other<br>reportable<br>compensation | (C) Retirement<br>and other<br>deferred<br>compensation | (D) Nontaxable<br>benefits | <b>(E)</b> Total of columns(B)(i)-(D) | (F) Compensation<br>in column (B)<br>reported as<br>deferred on prior<br>Form 990 |
| ALYCIA HALLADAY ROSS | (i)  | 155,186.                 | 0.                                  | 0.  | 0.  | 0.                         | 155,186.                              | 0.  |
| 1 CHIEF SCIENCE OFF. | (ii) | 0.                       | 0.                                  | 0.  | 0.  | 0.                         | 0.                                    | 0.  |
|                      | (i)  |                          |                                     |   |   |                            |                                       |   |
| 2                    | (ii) |                          |                                     |   | <b>t</b>  |                            | <u>+</u>                              |   |
|                      | (i)  |                          |                                     |   |   |                            |                                       |   |
| 3                    | (ii) |                          |                                     |   | <b>t</b>  |                            | <u>+</u>                              |   |
|                      | (i)  |                          |                                     |   |   |                            |                                       |   |
| 4                    | (ii) |                          |                                     |   | T   |                            |                                       |   |
|                      | (i)  |                          |                                     |   |   |                            |                                       |   |
| 5                    | (ii) |                          |                                     |   |   |                            |                                       |   |
|                      | (i)  |                          |                                     |   |   |                            |                                       |   |
| 6                    | (ii) |                          |                                     |   |   |                            |                                       |   |
|                      | (i)  |                          |                                     |   | L   |                            | L                                     |   |
| 7                    | (ii) |                          |                                     |   |   |                            |                                       |   |
|                      | (i)  |                          |                                     |   |   |                            |                                       |   |
| 8                    | (ii) |                          |                                     |   |   |                            |                                       |   |
|                      | (i)  |                          |                                     |   |   |                            |                                       |   |
| 9                    | (ii) |                          |                                     |   |   |                            |                                       |   |
|                      | (i)  |                          |                                     |   |   |                            |                                       |   |
| 10                   | (ii) |                          |                                     |   |   |                            |                                       |   |
|                      | (i)  |                          |                                     |   |   |                            |                                       |   |
| 11                   | (ii) |                          |                                     |   |   |                            |                                       |   |
|                      | (i)  |                          |                                     |   | L   |                            | L                                     |   |
| 12                   | (ii) |                          |                                     |   |   |                            |                                       |   |
|                      | (i)  |                          | +                                   |   | +   |                            | +                                     |   |
| 13                   | (ii) |                          |                                     |   | -   |                            |                                       |   |
|                      | (i)  |                          | +                                   |   | +   |                            | +                                     |   |
| 14                   | (ii) |                          |                                     |   | -   |                            |                                       |   |
|                      | (i)  |                          | +                                   |   | <b>↓</b>  |                            | +                                     |   |
| 15                   | (ii) |                          |                                     |   |   |                            |                                       |   |
|                      | (i)  |                          | +                                   |   | <b>+</b>  |                            | +                                     |   |
| 16                   | (ii) |                          |                                     |   |   |                            |                                       |   |
| BAA                  |      |                          | TEEA4102L 08/19                     | 9/16                                      |   |                            | Schedule                              | J (Form 990) 2016   |

26-4522309

#### Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

## Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information. ► Attach to Form 990 or 990-EZ. ► Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990. OMB No. 1545-0047

Open to Public Inspection

#### FORM 990, PART III, LINE 1 - ORGANIZATION MISSION

THE AUTISM SCIENCE FOUNDATION FUNDS SCIENTISTS CONDUCTING CUTTING-EDGE AUTISM RESEARCH TO DISCOVER THE CAUSES OF AUTISM AND TO DEVELOP BETTER TREATMENTS. ASF ALSO PROVIDES INFORMATION ABOUT AUTISM TO THE GENERAL PUBLIC AND WORKS TO INCREASE AWARENENSS OF THE NEEDS OF INDIVIDUALS WITH AUTISM AND THEIR FAMILIES.

FORM 990, PART III, LINE 4A - PROGRAM SERVICE ACCOMPLISHMENTS

IN 2016, THE AUTISM SCIENCE FOUNDATION:

•INCREASED CUMULATIVE GRANT FUNDING TO MORE THAN \$3 MILLION, WITH OVER

\$385,000 DISBURSED IN 2016.

•PROVIDED FUNDING FOR MORE RESEARCH TRAINEES THAN ANY OTHER PRIVATE AUTISM RESEARCH ORGANIZATION.

•PRODUCED THE AUTISM COMMUNITY'S THIRD ANNUAL TED TALKS, FEATURING

DISTINGUISHED AUTISM SCIENTISTS FROM ACROSS THE COUNTRY.

•BEGAN ENROLLING NEW FAMILIES IN THE AUTISM SISTERS PROJECT TO SEARCH FOR AUTISM'S FEMALE PROTECTIVE EFFECT.

•HELD OUR SECOND ANNUAL RIDE FAR (FOR AUTISM RESEARCH) BICYCLING EVENT,

RAISING OVER \$255,000 FOR RESEARCH

•INCREASED THE NUMBER OF PEOPLE REGISTERED TO DONATE POSTMORTEM BRAIN TISSUE THROUGH THE AUTISM BRAINNET TO 3,472. IN 2016, BRAIN DONATIONS NEARLY DOUBLED TO 42 FROM 22 IN 2015.

•HOSTED 50 WEEKLY PODCASTS WITH MORE THAN 45,000 DOWNLOADS, OFFERING DEEPER EXPLANATION AND CONTEXT ABOUT AUTISM RESEARCH NEWS FOR FAMILIES.

--POSTED MORE THAN 350 UP-TO-THE-MINUTE NEWS ITEMS ON SOCIAL MEDIA, PROVIDING

CRITICAL INFORMATION FOR FAMILIES ABOUT SIGNIFICANT NEW SCIENTIFIC DISCOVERIES.

#### FORM 990, PART VI, LINE 2 - BUSINESS OR FAMILY RELATIONSHIP OF OFFICERS, DIRECTORS, ETC.

BOARD MEMBERS ALISON SINGER AND MICHAEL LEWIS HAVE A FAMILY RELATIONSHIP.

#### FORM 990, PART VI, LINE 11B - FORM 990 REVIEW PROCESS

A DRAFT COPY OF THE FORM 990 WAS SUBMITTED TO THE BOARD OF DIRECTORS FOR REVIEW AND COMMENT PRIOR TO FILING.

FORM 990, PART VI, LINE 12C - EXPLANATION OF MONITORING AND ENFORCEMENT OF CONFLICTS THE PURPOSE OF THE POLICY IS TO AVOID POTENTIAL CONFLICTS ARISING BETWEEN THE PRIVATE INTERESTS OF BOARD MEMBERS/KEY STAFF AND HIS/HER RESPONSIBILITIES TO ASF. ON AN ANNUAL BASIS EACH BOARD MEMBER/KEY EMPLOYEE REVIEWS THE POLICY AND SIGNS A CONFLICT DISCLOSURE FORM. IF IT IS DISCOVERED THAT A BOARD MEMBER HAS A CONFLICT OF INTEREST FOR A PARTICULAR GRANT PROPOSAL, THAT BOARD MEMBER CANNOT VOTE ON THE OUTCOME OF THAT PROPOSAL. IF IT IS DISCOVERED THAT A STAFF MEMBER HAS A CONFLICT OF INTEREST, THAT STAFF MEMBER CANNOT WORK OR HAVE ANYTHING TO DO WITH DECISIONS REGARDING THAT GRANT.

FORM 990, PART VI, LINE 15A - COMPENSATION REVIEW & APPROVAL PROCESS - CEO & TOP MANAGEMENT THE PRESIDENT'S SALARY IS BASED ON A BOARD OF DIRECTORS REVIEW OF COMPARABLY SIZED ORGANIZATIONS WITH SIMILAR MISSIONS, AS WELL AS THE PRESIDENT'S EXPERIENCE.

#### FORM 990, PART VI, LINE 19 - OTHER ORGANIZATION DOCUMENTS PUBLICLY AVAILABLE

A COPY OF THE FORM 990 IS AVAILABLE ON THE ASF WEBSITE, WWW.GUIDESTAR.ORG, AND THE CHARITY NAVIGATOR WEBSITE.